

HOUSING AUTHORITY OF THE CITY OF PITTSBURGH

**REQUEST FOR PROPOSALS
Document Imaging Software & Services
RFP# 600-12-12**

**Due:
Tuesday, April 10, 2012
At 11:00 am.**

**To: Will McDanel
Procurement Director
100 Ross St. 2nd Fl. Suite 200
Pittsburgh, PA 15219**

SECTION I INTRODUCTION

The HACP is a municipal corporation, formed under the U.S. Housing Act of 1937, codified at 42 U.S.C. Section 1401 et seq. as amended and the Housing Authority Law of Commonwealth of Pennsylvania codified at 35 P.C. 1542, et. seq. as amended. As such, the HACP is charged with providing “affordable decent, safe and sanitary housing for low-income persons.”

The HACP has approximately 350 employees and services over 18,000 family member residents. The Authority itself maintains approximately 2,992 low-income public housing rental units; and, through its Section 8 program, funds the rental of more than 5,452 occupied Section 8 households that are privately owned.

Major operational departments include Property Management, Maintenance, Occupancy, Section 8, and Modernization & Development. Major administrative departments include Legal, Finance, Management Information Systems, and Human Resources. Our public and community relations departments are Community Affairs, Public Safety and Resident Self-Sufficiency. All departments work together to achieve the goals of the Authority that are set by the Board of Commissioners. Day to day decision-making rests with the Executive Director, who reports to the Board of Commissioners on a regular basis.

The Housing Authority of the City of Pittsburgh seeks proposals from persons or organizations qualified to provide: Document Imaging Software & Services.

The Authority is contemplating the award of a professional service contract or contracts for a period of three (3) years with two (2) one (1) year extension options, in the form of the Sample Contract (**Attachment A**) through this solicitation process.

Any questions regarding this Request for Proposals should be in writing and directed to:

Will McDanel-Procurement Director
Housing Authority of the City of Pittsburgh
100 Ross St. 2nd Fl.
Pittsburgh, PA 15219
412.456.5248 phone
412.456.5007 fax
Will.mcdanel@hacp.org

A complete proposal package may be obtained from:

Business Opportunities Section of the HACP website, www.hacp.org

Following are the Key Dates associated with this Request for Proposals:

Tuesday, April 10, 2012 at 11:00 a.m.	Deadline for Submission of Proposals Will McDanel – Procurement Director Procurement Department Housing Authority of the City of Pittsburgh 100 Ross Street 2 nd Fl. Suite 200 Pittsburgh, PA 15219
Monday, April 2, 2012 at 1:00 p.m.	Pre-submission Meeting: Housing Authority of the City of Pittsburgh 200 Ross Street 9 th Fl. Board Room Pittsburgh, PA 15219
Tuesday, April 3, 2012 at 11:00 a.m.	Deadline for the submission of written questions.

****Deadlines are subject to extension at HACP discretion and will be communicated as an addendum to this solicitation.**

SECTION II SCOPE OF SERVICES

The Housing Authority of the City of Pittsburgh has the following goals for document imaging.

1. Improve the effectiveness and efficiency of operations
2. Image enable core administrative applications
3. reduce the cost of maintaining the records of the Authority
4. reduce the space required to maintain the records of the Authority
5. assure and document compliance with records retentions regulation

The Authority will enter into a contract with a qualified vendor for software, hardware, and services needed to complete the following tasks. The vendor should submit a proposal including the required hardware, software and services needed to provide document management based on the information below.

Section 1 through 7 define functions and features expected by the Authority. The vendor should show in the proposal how the proposed system meets or exceeds each item.

Section 8 lists Authority groups along with their function and document sources. The vendor should use this information to estimate system size and scope of work. In the proposal the vendor should show services which should be provided to each department or section based on the information included and the experience of the vendor with implementation of their system in agencies of similar size and function.

The vendor should show the number of licenses, hardware devices, and services proposed for each department and give unit and total costs for each item with summary information for each department and the entire agency.

1. Image Enable Core Administrative Software.

The system selected will provide the ability to add document imaging and management capability to current core administrative applications. The Authority currently uses three core applications, Emphasys Elite from Emphasys Software for property management, regulatory compliance, and financial management; Tracking at a Glance from Designing for Success for case management, and Ceridian hosted services for Human Resources and Payroll processing.

1.1. General Requirements:

- 1.1.1. When an individual is displayed in administrative application the imaging system software should provide the ability for operator to access associated documents by retrieving the images associated with that individual without additional entry of index data.
- 1.1.2. Capture documents generated by the application by sitting between the application and printers, capture documents printed, identify index data from application. When printed copy is needed, document system should route document to printer after capture.

- 1.1.3. Launch core application from document system providing data to the administrative application needed to retrieve corresponding individual in the core application without additional data entry by operator.
 - 1.1.4. Separate reports generated by the core systems based on data elements within the report and provide access to the separated segments based on index data and document security.
 - 1.1.5. Provide above services for desktop top and web browser based applications.
 - 1.1.6. Provide the ability to associat documents generated by several applications or modules within an application where the documents are associated with the same individual. For instance, a participant folder may include documents generated by Applications, Housing Choice Voucher, Low Income Public Housing, and Financial modules within Emphasys Elite as well as Ceridian Payroll and Human Resources and case management in Tracking at a Glance.
 - 1.2. Emphasys Elite – provide the following:
 - 1.2.1. Capture and managed document folders for customer records that serve the needs of Authority staff and comply with Department of Housing and Urban Development regulations for tenant folders.
 - 1.2.2. Capture and manage documents generated by and associated with the financial system. Documents include but are not limited to checks, invoices, financial reports, payment authorizations, and tax documents.
 - 1.2.3. Capture and manage documents generated during the procurement process. Documents include requests for purchase, requests for proposals, proposals, proposal evaluations and documents associated with purchase approval.
 - 1.3. Tracking at a Glance – provide the following
 - 1.3.1. Capture and manage documents generated by the case management process. These documents may include correspondence generated by the application, correspondence generated by outside agencies and delivered as hardcopy, correspondence generated by the case manager using standard office applications, etc.
 - 1.4. Ceridian Hosted Payroll and Human Resources
 - 1.4.1. Capture, index and retrieve correspondence from those applying for employment with the Authority.
 - 1.4.2. Capture and manage documents that would be generally included in an employee folder.
 - 1.4.3. Provide the security needed for HIPPA documents, employee disciplines and grievances, and other documents within an employee folder that require special handling.
 - 1.4.4. Import and separate reports generated and delivered by Ceridian to the Authority as described in 1.1.4.
2. Document Repository

The system proposed should provide for secure and robust storage and maintenance documents. The document repository should include the following.

- 2.1. Define Document Attributes – The document repository should provide the ability for an authorized user to define a document attribute. The definition should include attribute name; data type; input mask; required or optional; system generated value, inherited value or user entered value.
- 2.2. Categorize and Group Documents – The document repository should provide the ability to define groups of documents. The creator of the group should be able to assign system defined characteristics and to define custom characteristics and data. All data elements should be marked required or optional.
- 2.3. Define Document Categories – The document repository should provide the ability to define document categories. The creator should be able to assign system defined characteristics and to define custom characteristics and data elements. All data elements should be marked required or optional.
- 2.4. Create Document – The document repository should allow a user to create a new document in one of three ways: by creating an empty document from the repository; by saving a new document within a Word Processing or document editing program; or by submitting an existing document to the repository for storage. At document creation the user should assign document categories and document attributes. If the document shares data elements with the assigned categories or groups the corresponding data document data elements should default to the values assigned to the category or group. Document security should also default to those of the category or group. The user should be able to override both data element values and security values during document creation.
- 2.5. Modify Document Categories and Groups – The document repository should provide the ability for an authorized user to modify definitions. If the modification includes fields whose values are used as defaults in subgroups or documents, the system should offer the user the option to either also modify data elements of associated objects who have accepted the default values..
- 2.6. Modify Document Definition – The document repository should provide the ability for an authorized user to modify the document definition.
- 2.7. Maintain Document in Original Format – the document repository should include the ability to maintain the document in the format of the application which created the document.
- 2.8. Maintain Document in Application Independent format – The document repository should include the ability to maintain the document in an application independent format and to translate the document into several application format for edit.
- 2.9. Application Independent Document Display – The document repository should include the ability to display a document stored on any workstation independent of the application in which the document was created.
- 2.10. Microsoft Office Integration – The document repository should integrate into the Microsoft Office menus and to become the default document storage area.
- 2.11. Other Office Suite Integration – The document repository should include integration with other Office Suites (i.e. Openoffice.org).
- 2.12. Document Versioning – The document repository should provide the ability to track the progress of a document from creation to final form.

- 2.12.1. Document Check-out (exclusive) – The document repository should allow an authorized user to check out a document for exclusive edit.
- 2.12.2. Document Check-in (exclusive) – The document repository should enforce document versioning at check-in. If a document has been checked out for exclusive edit, only the person and version of the document should be allowed to check in a new version of the document. All other users and versions of the document should be rejected.
- 2.12.3. Document Check-out (shared) – The document repository should allow an authorized user to check out a document for shared edit.
- 2.12.4. Document Check-in (shared) – The document repository should enforce document versioning at check-in. During check-in, if the document is an edit of the most recent version in the repository, the repository should check in the document and set the new version as the most recent version. During check-in, if the document is an edit of a previous version then the repository should create a new document string with a common parent and set this version as the most recent document in the new string.
- 2.12.5. Document Check-out cancel – The document repository should provide the ability for an authorized user to cancel a document check-out. Canceling a check-out should prevent subsequent check-in of a new version based on this check-out and should free the document from restrictions imposed by the check-out.
- 2.12.6. Document signature capture – The document repository should include the ability to capture a signature from a signature pad and locate the signature on the document during document creation or document check-in
- 2.12.7. Document version fork merge – The document repository should provide the ability to merge document version forks with a common parent.
- 2.13. Document Indexing and Retrieval – The system should provide for efficient indexing and retrieval of documents.
 - 2.13.1. Keyword Indexing – The document repository should include the ability to search for documents using keyword indexes.
 - 2.13.2. Document Author Indexing – The document repository should include the ability to search for documents based on document author.
 - 2.13.3. Document Date Indexing – The document repository should include the ability to search for a document based on creation date or modification date.
 - 2.13.4. Full-Text Indexing – The document repository should provide full-text indexing.
 - 2.13.5. Text Search – The document repository should provide for text search for a single word, for multiple words or phrase, and for word in context.
 - 2.13.6. Compound Search – The document repository should include the ability to search for a document using a single search including more than one of the listed search types.

- 2.13.7. Search Document List – The document repository should include the ability to apply a new search criteria or a refined search criteria against a list of documents created by a prior search.
3. Security – The document repository should include a security system.
 - 3.1. Document Read Access – The document repository should provide the ability to assign or deny read access at the document, folder, or repository level for a group or an individual
 - 3.2. Document Create Access – The document repository should provide the ability to assign create access to a document type, a folder type, a specific folder, or the entire repository to a group or an individual.
 - 3.3. Document Update Access - The document repository should provide the ability to assign update access to a document type, a folder type, a specific folder, or the entire repository to a group or an individual.
 - 3.4. Document Delete Access - The document repository should provide the ability to assign delete access to a document type, a folder type, a specific folder, or the entire repository to a group or an individual.
 - 3.5. Document/System Change Log – The document repository should include a change log noting user, date, time and document for all document access and changes. This log function should be able to be activated or deactivated on the repository or folder level.
4. Document Archiving – The document repository should provide a function that will move a document or folder from on-line magnetic media to on-line optical media, near-line storage, or off-line storage.
 - 4.1. Archive document – The document repository should provide an automatic or manual function to move documents to archival storage media. During archiving the repository should keep track of where the document has been moved to facilitate later retrieval.
 - 4.2. Retrieve Archived Document – The document repository should provide the ability to retrieve documents from archive. A document retrieved from on-line archive should be moved temporarily to magnetic media and later purged based on system magnetic media retention parameters. A document checked out from archive should be moved to magnetic media. The document repository should allow for edit and versioning of documents retrieved from archive.
 - 4.3. On-line Archive – The document repository should support on-line archive technology.
 - 4.4. Near Line Archive – The document repository should support near line archive with automatic retrieval.
 - 4.5. Off-line Archive – The document repository should support off-line archive. Should a document be requested from off-line archive the requesting user should be notified that the document is off-line and directed to mount the required media.
5. Document Scanning – The system proposed should include the ability to support both high volume and incidental scanning.
 - 5.1. Medium Volume Scanning – The system proposed should include the ability to attach medium volume duplex scanners and to support scan to repository.

- 5.2. Incidental Scanning – The system proposed should include the ability to submit an image scanned on a low volume scanner for processing and submission to the repository.
- 5.3. Full document OCR – The system proposed should include document OCR.
- 5.4. Keyword OCR – The system proposed should include the ability to define a document format including OCR scanning of a specific section of the document to complete keyword document indexing of the document.
- 5.5. Browser Document Access – The system proposed should allow an authorized user to retrieve, view, check-in, or check-out documents using a web browser.
6. Other Features
 - 6.1. Active Directory Integration – The document repository should include ability to authenticate users using Active Directory.
7. Work Flow Management

The system should provide a document work flow function.

 - 7.1. Define Work Flows – system should provide the ability to define simple and complex work flows.
 - 7.2. Monitor Work Flow Status – The system should provide the ability to monitor the status of a specific work flow, including step in work flow, time in step, remaining steps
 - 7.3. Take Action based on Status – The system should be able to take actions based on status of the work flow or action taken. Work flow branches should be activated based on action of operator or on time in a current status.
 - 7.4. Compound Action Criteria – The system should allow work flow branches to be defined based on compound conditions.
8. HACP Offices and Departments

The following is a list of office and departments, the relative size of the office, the major sources of documents and the major areas of responsibility. This is intended to provide the vendor the scale and size of the associated project

 - 8.1. Executive Office
 - 8.1.1. The Executive Office includes Executive Management, Board Support, and Governmental Relations.
 - 8.1.2. Document Sources –
 - 8.1.2.1. The Executive Office receives documents, both electronic and hard copy, from sources external to the agency. These documents may be related to the operations and management of the agency or may be related to government relations or regulatory compliance
 - 8.1.2.2. The Executive Office may create correspondence to internal and external correspondents.
 - 8.1.2.3. The Executive Office may review and comment on documents generated internal to the Agency which should be stored in the document repository.
 - 8.1.2.4. There are 5 employees in the Executive Office

8.2. Finance Department

- 8.2.1. The Finance Department includes accounting, payroll, budget, accounts payable, and tenant accounts receivable.
- 8.2.2. Document Sources – Payroll
 - 8.2.2.1. The Payroll staff receives documents from departments and employees needed to process and administer payroll. Current documents include payroll timesheets. Timesheets will be replaced by an on-line time reporting system within the next six months.
 - 8.2.2.2. The Payroll staff receives reports from the payroll provider including pay stub reports and departmental expense reports.
 - 8.2.2.3. There are 2 payroll staff members
- 8.2.3. Document Sources – Accounting
 - 8.2.3.1. Accounting Staff generate documents from with the core application, including Journal Entries and accounting reports.
 - 8.2.3.2. Accounting Staff receives documents needed to support financial transactions. These documents may be electronic or hard copy and may be internally or externally generated.
 - 8.2.3.3. There are 3 accounting staff members
- 8.2.4. Document Sources – Budget
 - 8.2.4.1. The Budget staff receives and processes budget requests. These are spreadsheets containing budget requests and supporting documentation.
 - 8.2.4.2. The Budget staff generates documents similar to the Accounting Staff
 - 8.2.4.3. There are 3 budget staff members
- 8.2.5. Document Sources – Accounts Payable
 - 8.2.5.1. Accounts Payable staff receives invoices from vendors. Invoices are received and routed to other Department managers for payment authorization. Accounts payable receives authorization for payment from other departments and process payments.
 - 8.2.5.2. Accounts Payable staff generates checks for both vendors of goods and services.
 - 8.2.5.3. Accounts Payable staff generates checks to Housing Choice Voucher landlords participating in the HCV program.
 - 8.2.5.4. There are 2 Accounts Payable staff members
- 8.2.6. Document Sources – Tenant Accounts Receivable
 - 8.2.6.1. Accounts Receivable staff receives payment documents in electronic format from the lockbox processing vendor.
 - 8.2.6.2. Accounts Receivable staff generates various documents associated with collection of rent and charges associated with late payment.
 - 8.2.6.3. There is 1 member tenant accounts receivable staff.

- 8.2.7. Document Sources – Finance Management.
 - 8.2.7.1. Finance Department management receives documents associated with various development and financing projects of the Authority. These documents may be hard copy or electronic.
 - 8.2.7.2. There are 2 finance department management staff members.
- 8.3. Legal Department
 - 8.3.1. The Legal Department provides support to all department of the agency and manages multiple external agencies who provide specialized support. The Legal Department also manages the Authority insurance and risk management functions.
 - 8.3.2. Legal Department Data Sources
 - 8.3.2.1. The Legal Department receives various documents associated with the litigation and legal proceedings. These documents may be electronic or hard copy.
 - 8.3.2.2. The Legal Department generates various documents both legal actions and documents supporting the legal operations of the Authority.
 - 8.3.2.3. The Legal Department receives documents associated with insurance management for the Authority
 - 8.3.2.4. There are 6 staff members in the legal department 2 of which are attorneys.
- 8.4. Human Resources Department
 - 8.4.1. The Human Resources Department recruits employees and manages employee benefits. The Human Resources Department is also responsible for employee development, employee performance evaluations, and resolution of employee grievances.
 - 8.4.2. Human Resources Department Data Sources
 - 8.4.2.1. The Human Resources Department receives documents associated with the recruitment of employees
 - 8.4.2.2. The Human Resources Department receives documents associated with the evaluation of current employees.
 - 8.4.2.3. The Human Resources Department receives documents associated with the employee benefits and management.
 - 8.4.2.4. The Human Resources Department receives documents concerning the training and professional growth of the Authority staff.
 - 8.4.2.5. There are 6 Human Resources staff members.
- 8.5. Community Affairs Department
 - 8.5.1. The Community Affairs Department is the public relations department of the agency. This department is the liaison between the Authority and the media, public, residents and Authority staff.
 - 8.5.2. Community Affairs Document Sources

- 8.5.2.1. Community Affairs creates news letters and public releases for the Authority.
 - 8.5.2.2. Community Affairs records the activities of the Authority including pictures and press releases associated with those activities
 - 8.5.2.3. There are 4 Community Affair staff members.
- 8.6. Resident Services Department
 - 8.6.1. The Resident Services Staff is assists Authority residents in their path toward economic self-sufficiency and full participation in the community.
 - 8.6.2. Resident Services Data Sources –
 - 8.6.2.1. The resident services receives and generates documents associated with case management for resident self sufficiency. Such documents include current status evaluations, improvement plans, correspondence, and progress reports.
 - 8.6.2.2. Resident Services is the primary user of Tracking at a Glance.
 - 8.6.2.3. There are XX resident services staff members.
- 8.7. Modernization and Development
 - 8.7.1. Modernization and Development staff is responsible for major property development and capital improvement projects.
 - 8.7.2. Modernization and Development data sources
 - 8.7.2.1. Documents include architectural designs and drawings, constructions specifications, and as built drawings
 - 8.7.2.2. Modernization and Development staff generates various documents associated with documenting the current physical status of Authority owned and managed properties
 - 8.7.2.3. Modernization and Development generates various plans and drawings to support planning for future development.
 - 8.7.2.4. Modernization and Development staff receives various documents associated with progress and regulatory compliance associated with each project managed by the department.
 - 8.7.2.5. There are 13 Modernization and Development staff members.
- 8.8. Housing Choice Voucher Department
 - 8.8.1. The Housing Choice Voucher program provide rental assistance vouchers to low income households, enabling the household to find safe, affordable housing in the private sector.
 - 8.8.2. Housing Choice Voucher document sources
 - 8.8.2.1. HCV staff generates documents, including lease contracts and 50058's associated with management of rental vouchers and regulator compliance. (The Authority manages 5,000 rental vouchers)
 - 8.8.2.2. HCV staff receives various hard copy documents from clients to support the client request for and eligibility for rental assistance.

- 8.8.2.3. HCV staff generates documents and pictures associated with inspections of landlord rental properties to determine eligibility of property for rental assistance.
 - 8.8.2.4. There are 25 staff members (including HQS inspectors)
- 8.9. Low Income Public Housing Department
 - 8.9.1. The Low Income Public Housing staff manages 3,200 units of low income rental housing in 20 properties within the boundaries of the City of Pittsburgh.
 - 8.9.2. LIPH Document Sources
 - 8.9.2.1. LIPH staff generates documents, including lease contracts and 50058's associated with management of rental vouchers and regulator compliance
 - 8.9.2.2. LIPH staff receives various hard copy documents from clients to support the client request for and eligibility for rental assistance.
 - 8.9.2.3. LIH staff generates various documents associated with the management of rental property.
- 8.10. Occupancy Department
 - 8.10.1. The Occupancy Department is responsible for determining eligibility for rental assistance or housing for persons applying for assistance by the Authority.
 - 8.10.2. Occupancy Document Sources
 - 8.10.2.1. LIPH staff receives various hard copy documents from clients to support the client request for and eligibility for rental assistance.
- 8.11. Security Department
 - 8.11.1. The Security Department is the liaison between the Authority and law enforcement. The department monitors security cameras, monitors security guard services, and works with city police.
 - 8.11.2. Security Department Data Sources
 - 8.11.2.1. Security Department receives documents from security guard contractors, constables, and City Police. Documents may contain information requiring regulatory security compliance and auditing
 - 8.11.2.2. Security Department maintains documents generated by Authority systems relating to current status of Authority residents.
 - 8.11.2.3. Security Department maintains documents relating to investigations and other criminal proceedings.
 - 8.11.2.4. Security Department maintains full motion video of criminal activity on Authority properties to be used in resident actions.
 - 8.11.2.5. There are two Security staff members.
- 8.12. Operations Department
 - 8.12.1. The Operations department is the office of the Chief Operation Officer. Low Income Public Housing, Housing Choice Vouchers and Facility Services report to the Department of Operations.

- 8.12.2. Operations Department Data Sources
 - 8.12.2.1. The Operations department receives reports generated by Authority systems used to determine the status of all Authority operations.
 - 8.12.2.2. The Operations department receives documents created by regulatory agencies relating to the ongoing operations of the Authority.
 - 8.12.2.3. The Operations department created and receives correspondence related to participants in Authority programs.
 - 8.12.2.4. There are 10 persons on the Operations Department staff.
- 8.13. Facility Services Department
 - 8.13.1. The Facility Services department is the internal maintenance service for the agency.
 - 8.13.2. Facility Services Department Data Sources.
 - 8.13.2.1. The Facility Services Department creates 2,500 work orders pre month. These work orders are distributed to workers who enter hand written information and return the work order to the department.
 - 8.13.2.2. The Facility Services department maintains as built drawings for a variety of properties and systems within the properties.
 - 8.13.2.3. The Facility Services Department receives and creates general correspondence.
 - 8.13.2.4. There are 10 Facility Services administrative staff.
- 8.14. Procurement Department
 - 8.14.1. The Procurement is responsible for all purchasing for the Agency. The Procurement Department acquires goods and services in compliance with Federal, State, and Local regulations.
 - 8.14.2. Procurement Office Data Sources
 - 8.14.2.1. The Procurement Department creates Request for Proposals for all procurement activities of the agency.
 - 8.14.2.2. The Procurement Department receives proposals from vendors including text documents and drawings.
 - 8.14.2.3. The Procurement Department receives proposal evaluation documents.
 - 8.14.2.4. The Procurement Department generates Purchase Orders for all purchases of the Authority.
 - 8.14.2.5. The Procurement Department receives proof of receipt documents for goods and services purchased by the agency
 - 8.14.2.6. There are 12 procurement and warehouse staff members.
- 8.15. Disability Compliance Department

- 8.15.1. The Disability Compliance Department is responsible for managing client requests for reasonable accommodation based on disability. The department oversees services to residents and assures regulator compliance.
- 8.15.2. Disability Compliance Department Data Sources
 - 8.15.2.1. Disability Compliance receives documents related to request for reasonable accommodation from persons with disability.
 - 8.15.2.2. Disability Compliance receives documents used to determine eligibility for reasonable accommodation including but not limited to medical confirmations, actions of the Authority, and grievance proceedings.
 - 8.15.2.3. There are 2 persons in the Disability Compliance Department
- 8.16. Information Services Department
 - 8.16.1. The Information Services Department is responsible for the technology infrastructure of the Authority. Infrastructure includes information technology, telephone systems, and security camera infrastructure.
 - 8.16.2. Information Services Department Data Sources
 - 8.16.2.1. Information Services receives general correspondence.
 - 8.16.2.2. Information Services maintains documents related to configuration and operation of Authority systems and infrastructure.

There are 5 Information Services staff members.

SECTION III GENERAL REQUIREMENTS

An Offeror may be an individual or a business corporation, partnership, firm, joint venture or other legal entity duly organized and authorized to do business in the City of Pittsburgh, financially sound and able to provide the services being procured by HACP.

If an Offeror has been debarred, suspended or otherwise lawfully precluded from participating in any public procurement activity, such firm shall disclose that information in its offer, which may be sufficient ground for disqualification. If the selected firm fails to disclose such information and HACP discovers it thereafter, then HACP could terminate the contract.

Each Offeror must be in good standing with HACP, and any Federal, State or Municipality that has or has had a contracting relationship with the firm. Therefore, if a Federal, State or Municipal entity has terminated any contract with an Offeror for deficiencies or defaults, that Offeror is not eligible to submit a Response to this Solicitation.

If Offeror is not in good standing with HACP, and/or any Federal, State or Municipality this must be disclosed.

Offeror must have and maintain all necessary insurance to cover malpractice liability and workers' compensation and submit proof of it with their proposal submission.

SECTION IV CONTENT OF RESPONSE DOCUMENTS

Offerors submitting Proposals should fully read and comprehend the *Instructions to Offerors Non-Construction* provided in **Attachment B** and *General Conditions – Non Construction* provided in **Attachment C**. Proposals received without all of the required information may be deemed non-responsive. Offerors must submit one (1) original plus three (3) paper copies of their technical proposal and one (1) electronic copy in .PDF format on a CD. Offerors must also submit in a separate sealed envelope, one (1) original fee proposal and one (1) electronic copy. Proposals must include, in the same order as below and using the forms attached hereto, the following information, exhibits and schedules:

A. General Information

1. Letter of Interest (Cover letter)
2. Type of Organization; Corporation, Partnership, Joint Venture or Sole Proprietorship. Names of shareholders, partners, principals and any other persons exercising control over the Firm.
3. Description of the Offeror's capacity including staff resources
4. Organizational Certifications:
 - (a) Copies of Certificate of Incorporation, Partnership Agreement, Joint Venture or other organizational document.
 - (b) A corporate resolution signed by the Secretary of the Corporation and notarized, certifying the name of the individual(s) authorized to sign the offer, the contract and any amendments thereto.

B. References

1. The bidder shall list three (3) firms, governmental units, or persons for whom the bidder has previously performed work of the nature requested under this RFP. Name of the contracting entity.
2. Name, title and a telephone number of a contract person for each identified contracting entity to permit reference checks to be performed. The identified party must be one who has first-hand knowledge regarding the operation of the contracted facility or project and who was involved in managing the contract between the Offeror and the contracting entity.
3. In addition to the references, all bidders will provide the last three jobs they performed, contact information from the job and all change orders related to the job and the reason for each.
4. All bidders will provide information on the most recent HACP job to include all change order information and the reason for each. The most recent HACP job can be one of the 3 last jobs performed if that is the case.

C. Proposed Staffing and Sub-consultants Responsibilities and Qualifications

Provide the following information relative to the proposed staffing and sub-consultants for this contact:

1. Provide background information regarding each identified Staff member that accurately describes his or her employment history and relevant experience providing services similar to those described in this Request for Proposals.
2. Description of the Scope of Services for at least three (3) projects in which the Staff and/or sub-consultant has provided services similar to those described in this Request for Proposals. Please include the individual's role in each project and all relevant aspects of each project.
3. Resumes for the owners and key management personnel of the company demonstrating their experiences in managing similar safety consultant services to those described in this RFP.

D. Methodology

Project Approach: Provide a brief narrative of the Offeror's approach to the services described in this Request for Proposals. Availability: Describe the availability of the Staff proposed and the turnaround time for each request to be made by the Authority.

E. Certifications and Representations of Offerors

Each Offeror must complete the Certifications and Representations of Offerors provided in **Attachment D**.

F. Minority and Women Business Participation Plan

HACP MBE and WBE Goals. It is the policy of HACP to ensure that Minority Business Enterprises (MBEs) and Women-owned Businesses (WBEs) are provided maximum opportunity to participate in contracts let by HACP. In accordance with Executive Order 11625, HACP has established a minimum threshold of eighteen percent (18%) of the total dollar amount for MBE utilization in this contract. HACP has established a seven percent (7%) minimum threshold for participation of WBEs, and, HACP strongly encourages and affirmatively promotes the use of MBEs and WBEs in all HACP contracts. For these purposes, an MBE is defined as "any legal entity other than a joint venture, organized to engage in commercial transactions, that is at least fifty-one percent (51%) owned and controlled by one or more minority persons." Also, a minority person is defined as a member of a socially or economically disadvantaged minority group, which includes African-Americans, Hispanic Americans, Native-Americans, and Asian Americans. A WBE/MBE is defined as "any legal entity other than a joint venture, organized to engage in commercial transactions, that is at least fifty-one percent (51%) owned and controlled by a female.

Proposals submitted in response to this solicitation MUST include an MBE/WBE participation plan which, at a minimum, demonstrates "Best Efforts" have been taken to achieve compliance with MBE/WBE goals. HACP's Procurement Policy defines "Best Efforts" in compliance with MBE/WBE goals to mean that the contractor must certify and document with its bid or proposal that it has contacted in writing at least ten (10) certified MBE and ten (10) certified WBE subcontractors to participate in the proposed contract with HACP or lesser number if the contractor provides documentation that ten (10) certified MBE and WBE contractors could not be

identified. Each contractor shall certify as to same under penalty of perjury and shall submit the back-up documentation with its bid or proposal. Any bid or proposal received from a contractor that does not contain such certification and back-up documentation acceptable to HACP shall be deemed non-responsive by HACP.

If you have any questions regarding the HACP MBE/WBE goals please contact Mr. Kim Detrick, Contract Manager, by e-mail at Kim.Detrack@HACP.org or by contacting him at the Procurement Department, Housing Authority of the City of Pittsburgh, 100 Ross Street, 2nd Floor, Pittsburgh PA 15219, telephone (412) 456-5116 opt.4. Proposals must demonstrate how the Offeror intends to meet or exceed these goals. Also, complete the table provided in **Attachment E** and include with your proposal.

G. Section 3 Participation

Section 3 of the Housing and Urban Development Act of 1968, as amended (12 U.S.C. 1701, et seq.) (“Act”) requires the Housing Authority of the City of Pittsburgh to ensure that employment and other economic and business opportunities generated by financial assistance from the Department of Housing and Urban Development (“HUD”), to the greatest extent feasible, are directed to public housing residents and other low income persons, particularly recipients of government housing assistance, and business concerns that provide economic opportunities to low and very low income persons.

To comply with the Act HACP requires its contractors to provide equal employment opportunity to all employees and applicants for employment without regard to race, color, religion, sex, national origin, disability, veteran’s or marital status, or economic status and to take affirmative action to ensure that both job applicants and existing employees are given fair and equal treatment.

The goal of this policy is to obtain a reasonable level of success in the recruitment, employment, and utilization of HACP residents and other eligible persons and/or businesses by contractors working on contracts partially or wholly funded with HUD monies. HACP shall examine and consider a contractor’s potential for success in providing employment and business opportunities to those covered under Section 3 prior to acting on any proposed contract award. In response to any RFP, RFQ or IFB HACP will require submission of the Section 3 Opportunities Plan and roster of current employees, and certification that the bidder will comply with the requirements of Section 3 either by hiring Section 3 employees to directly perform under the contract or by committing a dollar amount to HACP’s Section 3 program in an amount consistent with the chart below.

Below are the HACP Section 3 Guidelines as listed in the HACP Program Manual:

Resident Hiring Requirements / Resident Hiring Scale

TOTAL LABOR DOLLARS USE TOTAL CONTRACT AMOUNT FOR SERVICE CONTRACTS	RESIDENT LABOR AS A % OF TOTAL LABOR A. DOLLARS
--	---

Labor dollars \$25,000 but less than \$100,000	10% of the labor dollars
\$100,000, but less than \$200,000	9% of the labor dollars
At least \$200,000, but less than \$300,000	8% of the labor dollars
At least \$300,000, but less than \$400,000	7% of the labor dollars
At least \$400,000, but less than \$500,000	6% of the labor dollars
At least \$500,000, but less than \$1 million	5% of the labor dollars
At least \$1 million, but less than \$2 million	4% of the labor dollars
At least \$2 million, but less than \$4 million	3% of the labor dollars
At least \$4 million, but less than \$7 million	2% of the labor dollars
\$7 million or more	½ to 1 % of the labor dollars

**A copy of HACP's Section 3 Program Manual is available for download at www.HACP.org

A copy of HUD's Section 3 requirement is provided in Attachment F. If you have any questions regarding the Section 3 Requirements or would like to discuss goals and planning for Section 3 Requirements please contact Roxanne Thomas, Section 3 Liaison, by e-mail at Roxanne.Thomas@hacp.org or by contacting her at Housing Authority of the City of Pittsburgh, 1205 Liverpool Street, Pittsburgh PA 15233, telephone (412) 456-5000 ext. 1034 or ext 1048. Proposals must demonstrate how the Offeror intends to meet or exceed the Authority's Section 3 requirements. Also, complete **Attachment F Section 3 Opportunities Plan** and include with your proposal.

Any bid or proposal received from a contractor that does not contain a Section 3 Opportunities Plan or certification and back-up documentation acceptable to HACP shall be deemed non-responsive by HACP.

H. Firm Demographics

Provide demographic description of all employees of your firm using the table provided in Attachment G

I. TIN/W-9 Form

Complete a W-9 Request for Tax Payer Identification Number and Certification, as provided in Attachment H.

J. MBE/WBE Letter of Intent

Complete a Letter of Intent for each MBE/WBE firm contacted, a sample letter is provided in Attachment I.

SECTION V EVALUATION CRITERIA

The Evaluation Committee will evaluate and will score each proposal that is submitted as a complete response. It is noted that the proposed Fee will be evaluated separately. Responses may receive a maximum score of one hundred (100) points subdivided as follows:

Experience of Proposed Staff: **Maximum 20 points**

Demonstrated successful experience and capability of the proposed staff and sub-consultants proposed for this project in providing the services described in this Request for Proposals.

Experience of Firm: **Maximum 15 points**

Demonstrated successful experience and capability of the Offeror in providing services described in this Request for Proposals.

Proposed Fee: **Maximum 20 points**

Proposed rates and level of service are reasonable and appropriate in relation to the services requested.

Capacity: **Maximum 20 points**

Demonstrated ability of the Offeror to provide the resources (staffing, equipment, office facilities and other necessary for the timely and efficient implementation of HACP's goals and objectives as described in this solicitation.

MBE/WBE Participation **Maximum 10 points**

Demonstrated experience and commitment of the Offeror to assist the HACP in meeting its requirement and goals related to Minority/Women Business Participants.

Section 3 **Maximum 15 points**

Demonstrated commitment to assist the HACP in meeting its requirements and goals related to Section 3.

Deductions

Points may be deducted for failure to submit all required documents or for submitting irrelevant or redundant material.

SECTION VI PROCUREMENT AND AWARD PROCESS

Pursuant to 24 C.F.R. Section 85.36 (d)(3), goods or services are being procured as described in Section II of this solicitation. The following instructions are intended to aid Offerors in the preparation of their Proposals:

A. Pre-Submission Conference

A pre-submission conference will be conducted on **Monday, April 2, 2012 at 1:00 p.m., at 200 Ross Street, 9th Floor, Board Room, Pittsburgh, PA 15219**. Nothing discussed or expressed at the Pre-Submission Conference will change, alter, amend or otherwise modify the terms of this Solicitation unless a subsequent written amendment (addendum) is issued. Verbal responses by HACP's representatives shall not constitute an amendment or change to this Solicitation.

Material issues raised and addressed at the Pre-Submission Conference shall be answered solely through an addendum to this Solicitation. Likewise, ambiguities and defects of this Solicitation raised at the Pre-Submission Conference shall be corrected by a written amendment only, which, if issued, shall form an integral part hereof.

Although not mandatory, all prospective respondents are strongly encouraged to attend the Pre-Submission Conference. Failure to attend will not excuse the legal contractual duty imposed by this Solicitation and the subsequent contract on each respondent to familiarize itself with the request for proposals.

Each firm shall submit in writing to the Contract Manager to request additional information as follows:

1. Describe any items, information, reports or the like, if any, that the Proposer will require from the HACP in order to comply with the scope of Services.

B. Amendments to Solicitation

Any and all amendments to this Solicitation shall be sent by certified mail, return receipt requested, electronic mail, and/or by fax, to all potential Offerors who attend the Pre-Submission Conferences and/or receive the solicitation materials.

Notwithstanding any information that may be contained in the Solicitation and amendments thereto, Offerors are responsible for obtaining all information required thus enabling them to submit Responses.

C. Submission of Proposals and/or Amendments to Proposals; Deadlines

Responses may be hand-delivered or sent by certified or registered mail, return receipt requested, to the following address:

Will McDanel
Procurement Director
Housing Authority of the City of Pittsburgh
100 Ross St. 2nd Fl. Suite 200
Pittsburgh, PA 15219

Proposals must be received at the above address no later than **Tuesday, April 10, 2012 at 11:00 a.m.**, regardless of the selected delivery mechanism.

Each Response will be date-time stamped immediately upon its receipt at HACP to document its timeliness. Any Proposal received after the specified deadline shall be automatically rejected and will be returned unopened except as identified in the Instructions to Offerors attached hereto.

Any amendments to a response must be received before the specified response due date and time established for the delivery of the original Proposal except as identified in the Instructions to Offerors attached hereto.

D. Evaluation and Award Process

HACP staff will review each Proposal to determine if it was complete and if it was responsive to this Request for Proposals. HACP may allow a Proposer to correct minor deficiencies in its Proposal that do not materially affect the Proposal.

All Proposals determined to be complete and responsive will be provided to an HACP Evaluation Committee. HACP's Evaluation Committee will review and evaluate the Proposals.

HACP reserves the right to interview Offerors in the competitive range, request additional information from selected Offerors and/or negotiate terms and conditions with selected Offerors.

HACP will perform a responsibility determination of the Offerors which may include reference and financial background checks.

HACP will award Market assignments to the highest-ranked Offerors determined to be responsive and responsible and whose offer is in the best interest of HACP.

HACP shall not be responsible for and will not reimburse any Offeror for any cost(s) associated with preparing a proposal.

A Proposal submitted by an Offeror does not constitute a contract, nor does it confer any rights on the Offeror to the award of a contract. A letter or other notice of Award or of the intent to Award shall not constitute a contract. A contract is not created until all required signatures are affixed to the contract.

Prior to contract execution of any professional service contracts which have a potential amount of \$25,000.00 or greater, the selected firm may be required to appear before and present a Minority and Woman Owned Business participation plan to the City of Pittsburgh Equal Employment Opportunity Review Commission for approval. Any HACP contract which has a potential amount of \$50,000.00 or more is subject to approval by the HACP Board of Directors.

ATTACHMENT A

CONTRACT

(Shaded areas of the contract and Contract Exhibits must be filled out and contract returned with proposal)

**PROFESSIONAL SERVICE CONTRACT
FOR
Document Imaging Software and Services**

This Agreement is made as of _____ between HOUSING AUTHORITY OF THE CITY OF PITTSBURGH, a body corporate and politic created under the provisions of the Housing Authorities Law, as amended, having its principal office at 200 Ross Street, Pittsburgh, Pennsylvania 15219 ("**Authority**"), and _____, having its principal office at _____ ("**Contractor**").

PREAMBLE

Authority desires the Contractor to provide Document Imaging Software and Services.

Contractor desires to provide to the Authority Document Imaging Software and Services.

AGREEMENT

In consideration of the mutual covenants and promises set forth herein, the parties hereto, intending to be legally bound hereby, agree as follows:

1. Engagement. Authority hereby engages Contractor to render the following services set forth on Exhibit A (the "**Services**").

Contractor hereby accepts such engagement and covenants that Contractor will devote and will cause its employees to devote their best efforts, knowledge and skill to the performance of the Services and such additional services as may be mutually agreed upon by Authority and Contractor.

It is understood that the Contractor's Services shall be rendered at such times and places as directed by Authority.

Authority may at any time make changes to the Services to be performed. If any such change causes an increase or decrease in the rates or the time required for performance of the Services, Authority shall make an equitable adjustment in the rates and the time required for performance of the Services, and shall modify this Agreement accordingly.

2. Contractor Conflicts. Contractor agrees that neither Contractor nor its employees shall, directly or indirectly, engage in any activity, which would detract from Contractor's ability or its employees' ability to apply their best efforts, knowledge and skill to the performance of the Services. Contractor is charged with the responsibility to promptly disclose to Authority any situations that may create possible conflicts of interest so that appropriate action

can be taken to address such situations. No member, official, or employee of Authority, during his or her tenure or for one year thereafter, shall have any interest in this Agreement or the proceeds thereof.

Contractor may not participate in the award or administration of a contract supported by Federal funds if a conflict of interest, real or apparent, would be involved.

In the event Contractor is or becomes aware of a conflict of interest and fails to disclose the conflict to Authority; the Authority may immediately terminate this Agreement pursuant to paragraph 7(ii)(b) hereof.

3. Compensation. In full compensation for the Services to be rendered by Contractor to Authority hereunder, Authority agrees to pay Contractor for the Services in accord with the Fee Schedule set forth on **Exhibit B**; however, the compensation of costs for services not to exceed fee of \$_____. No work or expenses for which an additional cost or fee will be charged by Contractor shall be furnished without the prior written consent of Authority.

Contractor shall submit monthly invoices to Authority, which invoices shall include an itemization of the hours expended by Contractor and Contractor's employees and the nature of the Services performed and shall be prepared in a form reasonably satisfactory to Authority.

Contractor shall use its reasonable business efforts to submit invoices within 45 days of rendering Services.

All invoices should be mailed to: Housing Authority of the City of Pittsburgh
100 Ross Street 2nd Fl. Suite 200
Pittsburgh, PA 15219
Attn: Invoicing and Receiving

Authority shall use its reasonable business efforts to process and pay each such invoice within 30 days of its receipt.

4. Term. The commencement date for performing the Services shall be the date of this Agreement, listed above, and will continue for a term of three (3) years with two (2) one (1) year extension options at the discretion of the Authority, unless sooner terminated as provided herein.

5. Contractor's Obligations. Contractor shall comply with the following:

(a) If requested, Contractor will submit monthly written narrative progress reports to the Authority. Contractor shall retain all records in connection with this Agreement or the Services provided herein for a period of three years after all payments required herein are made and all other pending matters are closed.

(b) This Agreement is subject to and incorporates herein the provisions of the U. S. Department of Housing and Urban Development regulations and the sections of the Code of Federal Regulations that are applicable to said program.

(c) The rules and regulations of the Office of Management and Budget (OMB) Circular A-133 apply. If the Contractor is a non-profit organization incorporated or registered to do business in Pennsylvania under the laws of the Commonwealth of Pennsylvania, Contractor shall provide a copy of its annual Audit or Review, whichever is required to the Pennsylvania Bureau of Charitable Organizations.

(d) If Contractor is a Subrecipient or pass-through entity, Contractor must comply with applicable regulations pertaining to this Agreement.

6. Insurance. Contractor will obtain and maintain (a) workers' compensation insurance in accordance with State Workers' Compensation Law; and (b) liability insurance with a combined single limit of not less than \$100,000 per occurrence with insurers reasonably acceptable to the Authority. Authority will be named as an additional insured on each of such liability policies and such coverage shall be on a primary and non contributory basis. Contractor will deliver to Authority certificates evidencing such policies prior to the commencement of the Services, and will deliver evidence of the renewal or replacement of such policies at least 30 days prior to the expiration thereof. Each of such policies will contain a waiver of the insurer's rights of subrogation against Authority.

7. Termination.

(i) The Authority may terminate this Agreement for convenience upon 30 days' prior written notice to the Contractor.

(ii) This Agreement shall terminate automatically without notice upon the occurrence of any of the following events:

(a) A material breach of this Agreement by Contractor;

(b) Contractor or Contractor's employees engaging in conduct materially injurious to the Authority or to itself/themselves, including but not limited to acts of dishonesty or fraud, commission of a felony or a crime of moral turpitude, or alcohol or substance abuse;

(c) Contractor's refusal to substantially perform the Services;

(d) Contractor becomes insolvent or makes a general assignment for the benefit of creditors; or

(e) Contractor files a petition in bankruptcy or such petition is filed against Contractor.

Authority shall be liable only for payment for Services rendered prior to the effective date of termination. If this Agreement is terminated pursuant to subparagraphs (a) or (c) Authority may take over the Services and prosecute the same to completion by contract or otherwise, and Contractor shall be liable for any additional costs incurred by Authority. Authority may withhold any payments to Contractor, for the purpose of set-off or partial payment, as the case may be, of amounts owed to Authority by Contractor.

8. Minority/Women Participation. Contractor shall use its best efforts to ensure that minority-owned businesses and women's business enterprises shall have the maximum opportunity to participate in the performance of contracts and subcontracts financed, in whole or in part, with federal funds provided under this contract. In this regard, Contractor shall take all necessary steps in accordance with 24 CFR 85.36(e), to ensure that minority-owned businesses and women's business enterprises have the maximum opportunity to compete for and perform contracts. Contractor shall not discriminate on the basis of race, color, national origin or sex in the award and performance of contracts assisted by the U.S. Department of Housing and Urban Development.

Failure of Contractor to carry out the requirements set forth in 24 CFR 85.36(e) shall constitute a breach of contract and, after notification from the U.S. Department of Housing and Urban Development or Authority, may result in termination of this contract or such other remedy as is deemed appropriate.

For the purposes hereof, a minority-owned business shall mean sole proprietorship, partnership or corporation-owned, operated and controlled by minority group members who have at least 51% ownership. The minority group members must have operational control and interest in capital and earnings commensurate with their respective percentage of ownership. Furthermore, to qualify as a minority-owned business, the business must be certified as an MBE by either the City of Pittsburgh, Allegheny County, Commonwealth of Pennsylvania or some other governmental entity whose certification is acceptable to Authority. Minority group members include, but are not limited to, African-Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, Asian-Indian Americans and Hasidic Jewish American.

A women's business enterprise is defined as a sole proprietorship, partnership or corporation owned, operated and controlled by women who have at least 51% ownership. Women must have operational control and interest in capital and earnings commensurate with their respective percentage ownership. Furthermore, to qualify as a women's business enterprise, the business must be certified as a WBE by either the City of Pittsburgh, Allegheny County, Commonwealth of Pennsylvania or some other governmental entity whose certification is acceptable to Authority.

In the event of a contractor's failure to comply with the equal employment opportunity and affirmative action provisions, including the affirmative action undertaking outlined in its proposal, or with any of the rules, regulations or orders referenced within this contract, HACP, at its discretion, may exercise any one or more of the following rights and remedies:

- i. cancel, terminate or suspend the contract in whole or in part

- ii. recover from the Contractor, by set off against the unpaid portion of the contract, as liquidated damages and not as a penalty, an agreed upon sum for each day that the contractor fails to comply with the contract, the sum being fixed and agreed upon by and between contractor and HACP because of the impracticability and extreme difficulty of fixing and ascertaining the actual damages which HACP would sustain in the event of such a breach
- iii. such other rights and remedies (which are cumulative and not exclusive) available under applicable law on in equity.

9. **Acceptance of the Services.** Authority has the right to review and/or require correction of any Services provided by Contractor. Contractor shall make any required corrections to any Service within 10 days at no additional charge. The payment of any invoice by Authority does not indicate acceptance of Services provided. Further, the Authority reserves the right at any time to reject or disapprove any Service provided. If Contractor fails to make the necessary corrections within a reasonable time after notice to do so from the Authority, or if the submission of any corrected Service remains unacceptable, the Authority may immediately terminate this Agreement pursuant to paragraph 7(ii)(a) hereof or reduce the hourly rate to reflect the reduced value of the Services provided.

10. **Confidential Information.** Contractor agrees that Contractor will not knowingly reveal to a third party or use for Contractor's own benefit, either during or after the term of this Agreement, without the prior written consent of Authority, any confidential information pertaining to the business and affairs of Authority, its officers, employees and directors obtained while working with Authority except for information clearly established to be in the public record.

11. **Representation and Warranties of Contractor.** Contractor hereby represents and warrants to Authority that Contractor is not a party to or otherwise subject to or bound by any contract, agreement or understanding which would limit or otherwise adversely affect Contractor's ability to perform the Services or which would be breached by Contractor's execution and delivery of this Agreement or by the performance of the Services.

12. **Indemnification.** Contractor agrees to indemnify and hold Authority harmless from any and all claims, damages, liabilities, costs and expenses (collectively "Claims") arising out of or in connection with Contractor's or its employees' performance of the Services on behalf of Authority.

13. **Independent Contractor.** Contractor shall perform the Services hereunder as an independent contractor and not as an agent or employee of the Authority. Contractor shall be responsible for paying any and all required Federal, state or local taxes arising from the performance of the Services. Contractor agrees to remove any employee from the performance of the Services at the request of Authority.

14. Copyright. No material produced in whole or in part under this Agreement shall be subject to copyright in the United States or in any other country. Authority shall have unrestricted authority to publish, disclose, distribute, and otherwise use, in whole or in part, any reports, data, or other materials and documentation prepared by Contractor under this Agreement.

15. Inspections; Work Product. Pursuant to 24 CFR 85.36(i)(10) and (11), access shall be given by Contractor to Authority, the United States Department of Housing and Urban Development, the Comptroller General of the United States, or any of their duly authorized representatives, to any books, documents, papers, and records of Contractor which are directly pertinent to this Agreement for the purpose of making an audit, examination, excerpts, and transcriptions. All required records shall be retained for three years after Authority makes final payment and all other pending matters on which Contractor performed Services are closed.

All work product produced by Contractor, including Contractor's employees, in accordance with this Agreement shall become the sole property of Authority in perpetuity. "**Work product**" shall include all records and other documents resulting from the Services performed under this Agreement. It is understood that Authority may reproduce any such work product without modifications and distribute such work product without incurring obligations for additional compensation to Contractor.

16. Return of Authority Property. Promptly after termination of this Agreement, Contractor shall return and shall cause its employees to return to Authority all property of the Authority then in Contractor's possession, including without limitation papers, documents, records, files, computer disks and confidential information, and shall neither make nor retain copies of the same. Authority's obligation to make final payment to Contractor following termination, including without limitation accrued but unpaid fees under paragraph 3 hereof, shall be contingent upon Contractor's compliance with this paragraph.

17. Third Party Solicitation. Contractor warrants that Contractor has not retained any company, firm or person to solicit or secure this Agreement and has not paid or agreed to pay any company, firm or person any fee, commission, percentage, brokerage fee, gifts, or any other consideration, contingent upon or resulting from the award or making of this Agreement.

18. Release. Prior to final payment under this Agreement, or prior to settlement upon termination of this Agreement, and as a condition precedent thereto, Contractor shall execute and deliver to Authority a final release ("**Release**"), in a form acceptable to Authority, of all claims against Authority by Contractor under and by virtue of this Agreement, other than such claims, if any, as may be specifically excepted by Contractor in stated amounts set forth therein.

19. Disputes. All disputes arising under or related to this contract, including any claims for damages for the alleged breach thereof which are not disposed of by agreement, shall be resolved under this clause.

- (a) All claims by the Contractor shall be made in writing and submitted to the Contracting Officer for a written decision. A claim by the Authority against the contractor shall be subject to a written decision by the Contracting Officer.
- (b) The Contracting Officer shall, within 30 days after receipt of the request, decide the claim or notify the Contractor of the date by which the decision will be made.
- (c) The Contracting Officer's decision shall be final unless the Contractor
 - 1) Appeals in writing to a higher level in the Authority in accordance with the Authority's policy and procedures;
 - 2) Refers the appeal to an independent mediator or arbitrator; or
 - 3) Files suit in a court of competent jurisdiction. Such appeal must be made within 30 days after receipt of Contracting Officer's decision.
- (d) The Contractor shall proceed diligently with performance of this contract, pending final resolution of any request for relief, claim, appeal, or action under or relating to the contract, and comply with any decision of the Contracting Officer.

20. Notices. All notices or other communications to either party by the other shall be deemed given when made in writing and deposited with the United States Postal Service addressed as follows:

If to the Authority: Housing Authority of the City of Pittsburgh
 Larry Shank
 MIS Dept.
 200 Ross St. 8th Fl.
 Pittsburgh, PA 15219
 412-456-5000 x 2201
 Larry.Shank@hacp.org

And a copy of the notice or other communication should be sent to:

Housing Authority of the City of Pittsburgh
100 Ross St. 2nd Fl. Suite 200
Pittsburgh, PA 15219
Attn: Kim Detrick, Contract Manager

If to Contractor:

Name: _____
Address: _____

Phone/Fax: _____
Email: _____

21. Compliance with Law. Contractor shall comply with all Federal, State and Local laws, regulations ordinances and codes relating to the operation and activities of Authority and all Services performed pursuant to this Agreement, including, but not limited to completing the following items which shall be attached as exhibits:

- | | | |
|-----|---------------------------------|-------------|
| (a) | Non-Debarment Certificate | (Exhibit C) |
| (b) | Certification re: Lobbying | (Exhibit D) |
| (c) | Disclosure of lobbying activity | (Exhibit E) |
| (d) | Conflict of Interest | (Exhibit F) |

22. Transfer by Contractor. Contractor shall not transfer all or any part of its rights or obligations herein to any person or legal entity.

23. Miscellaneous. The invalidity or unenforceability of any provision hereof shall in no way affect the validity or enforceability of any other provision. This Agreement embodies the entire Agreement between the parties hereto and supersedes any and all prior or contemporaneous, oral or written understandings, negotiations, or communications on behalf of such parties. This Agreement may be executed in several counterparts, each of which shall be deemed original, but all of which together shall constitute one and the same instrument. The waiver by either party of any breach or violation of any provision of this Agreement shall not operate or be construed as a waiver of any subsequent breach or violation hereof. This Agreement is executed in and shall be governed by and construed in accordance with the laws of the Commonwealth of Pennsylvania. This Agreement may only be amended by written agreement of both parties hereto. This Agreement shall inure to the benefit of the Authority, its successors and assigns.

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**SIGNATURE PAGE TO
PROFESSIONAL SERVICE CONTRACT
FOR
Document Imaging Software and Services**

HOUSING AUTHORITY OF THE CITY
OF PITTSBURGH

Date: _____

By: _____
Contracting Officer

Vendor Name

Date: _____

By: _____

Title: _____

EXHIBIT A

SCOPE OF SERVICES

The Housing Authority of the City of Pittsburgh has the following goals for document imaging.

1. Improve the effectiveness and efficiency of operations
2. Image enable core administrative applications
3. reduce the cost of maintaining the records of the Authority
4. reduce the space required to maintain the records of the Authority
5. assure and document compliance with records retentions regulation

The Authority will enter into a contract with a qualified vendor for software, hardware, and services needed to complete the following tasks. The vendor should submit a proposal including the required hardware, software and services needed to provide document management based on the information below.

Section 1 through 7 define functions and features expected by the Authority. The vendor should show in the proposal how the proposed system meets or exceeds each item.

Section 8 lists Authority groups along with their function and document sources. The vendor should use this information to estimate system size and scope of work. In the proposal the vendor should show services which should be provided to each department or section based on the information included and the experience of the vendor with implementation of their system in agencies of similar size and function.

The vendor should show the number of licenses, hardware devices, and services proposed for each department and give unit and total costs for each item with summary information for each department and the entire agency.

1. Image Enable Core Administrative Software.
 - 1.1. The system selected will provide the ability to add document imaging and management capability to current core administrative applications. The Authority currently uses three core applications, Emphasys Elite from Emphasys Software for property management, regulatory compliance, and financial management; Tracking at a Glance from Designing for Success for case management, and Ceridian hosted services for Human Resources and Payroll processing.
 - 1.2. General Requirements:
 - 1.2.1. When an individual is displayed in administrative application the imaging system software should provide the ability for operator to access associated documents by retrieving the images associated with that individual without additional entry of index data.
 - 1.2.2. Capture documents generated by the application by sitting between the application and printers, capture documents printed, identify index data from application. When printed copy is needed, document system should route document to printer after capture.

- 1.2.3. Launch core application from document system providing data to the administrative application needed to retrieve corresponding individual in the core application without additional data entry by operator.
 - 1.2.4. Separate reports generated by the core systems based on data elements within the report and provide access to the separated segments based on index data and document security.
 - 1.2.5. Provide above services for desktop top and web browser based applications.
 - 1.2.6. Provide the ability to associate documents generated by several applications or modules within an application where the documents are associated with the same individual. For instance, a participant folder may include documents generated by Applications, Housing Choice Voucher, Low Income Public Housing, and Financial modules within Emphasys Elite as well as Ceridian Payroll and Human Resources and case management in Tracking at a Glance.
 - 1.3. Emphasys Elite – provide the following:
 - 1.3.1. Capture and managed document folders for customer records that serve the needs of Authority staff and comply with Department of Housing and Urban Development regulations for tenant folders.
 - 1.3.2. Capture and manage documents generated by and associated with the financial system. Documents include but are not limited to checks, invoices, financial reports, payment authorizations, and tax documents.
 - 1.3.3. Capture and manage documents generated during the procurement process. Documents include requests for purchase, requests for proposals, proposals, proposal evaluations and documents associated with purchase approval.
 - 1.4. Tracking at a Glance – provide the following
 - 1.4.1. Capture and manage documents generated by the case management process. These documents may include correspondence generated by the application, correspondence generated by outside agencies and delivered as hardcopy, correspondence generated by the case manager using standard office applications, etc.
 - 1.5. Ceridian Hosted Payroll and Human Resources
 - 1.5.1. Capture, index and retrieve correspondence from those applying for employment with the Authority.
 - 1.5.2. Capture and manage documents that would be generally included in an employee folder.
 - 1.5.3. Provide the security needed for HIPPA documents, employee disciplines and grievances, and other documents within an employee folder that require special handling.
 - 1.5.4. Import and separate reports generated and delivered by Ceridian to the Authority as described in 1.1.4.
2. Document Repository
 - 2.1. The system proposed should provide for secure and robust storage and maintenance documents. The document repository should include the following.

- 2.2. Define Document Attributes – The document repository should provide the ability for an authorized user to define a document attribute. The definition should include attribute name; data type; input mask; required or optional; system generated value, inherited value or user entered value.
- 2.3. Categorize and Group Documents – The document repository should provide the ability to define groups of documents. The creator of the group should be able to assign system defined characteristics and to define custom characteristics and data. All data elements should be marked required or optional.
- 2.4. Define Document Categories – The document repository should provide the ability to define document categories. The creator should be able to assign system defined characteristics and to define custom characteristics and data elements. All data elements should be marked required or optional.
- 2.5. Create Document – The document repository should allow a user to create a new document in one of three ways: by creating an empty document from the repository; by saving a new document within a Word Processing or document editing program; or by submitting an existing document to the repository for storage. At document creation the user should assign document categories and document attributes. If the document shares data elements with the assigned categories or groups the corresponding data document data elements should default to the values assigned to the category or group. Document security should also default to those of the category or group. The user should be able to override both data element values and security values during document creation.
- 2.6. Modify Document Categories and Groups – The document repository should provide the ability for an authorized user to modify definitions. If the modification includes fields whose values are used as defaults in subgroups or documents, the system should offer the user the option to either also modify data elements of associated objects who have accepted the default values..
- 2.7. Modify Document Definition – The document repository should provide the ability for an authorized user to modify the document definition.
- 2.8. Maintain Document in Original Format – the document repository should include the ability to maintain the document in the format of the application which created the document.
- 2.9. Maintain Document in Application Independent format – The document repository should include the ability to maintain the document in an application independent format and to translate the document into several application format for edit.
- 2.10. Application Independent Document Display – The document repository should include the ability to display a document stored on any workstation independent of the application in which the document was created.
- 2.11. Microsoft Office Integration – The document repository should integrate into the Microsoft Office menus and to become the default document storage area.
- 2.12. Other Office Suite Integration – The document repository should include integration with other Office Suites (i.e. Openoffice.org).
- 2.13. Document Versioning – The document repository should provide the ability to track the progress of a document from creation to final form.

- 2.13.1. Document Check-out (exclusive) – The document repository should allow an authorized user to check out a document for exclusive edit.
- 2.13.2. Document Check-in (exclusive) – The document repository should enforce document versioning at check-in. If a document has been checked out for exclusive edit, only the person and version of the document should be allowed to check in a new version of the document. All other users and versions of the document should be rejected.
- 2.13.3. Document Check-out (shared) – The document repository should allow an authorized user to check out a document for shared edit.
- 2.13.4. Document Check-in (shared) – The document repository should enforce document versioning at check-in. During check-in, if the document is an edit of the most recent version in the repository, the repository should check in the document and set the new version as the most recent version. During check-in, if the document is an edit of a previous version then the repository should create a new document string with a common parent and set this version as the most recent document in the new string.
- 2.13.5. Document Check-out cancel – The document repository should provide the ability for an authorized user to cancel a document check-out. Canceling a check-out should prevent subsequent check-in of a new version based on this check-out and should free the document from restrictions imposed by the check-out.
- 2.13.6. Document signature capture – The document repository should include the ability to capture a signature from a signature pad and locate the signature on the document during document creation or document check-in
- 2.13.7. Document version fork merge – The document repository should provide the ability to merge document version forks with a common parent.
- 2.14. Document Indexing and Retrieval – The system should provide for efficient indexing and retrieval of documents.
 - 2.14.1. Keyword Indexing – The document repository should include the ability to search for documents using keyword indexes.
 - 2.14.2. Document Author Indexing – The document repository should include the ability to search for documents based on document author.
 - 2.14.3. Document Date Indexing – The document repository should include the ability to search for a document based on creation date or modification date.
 - 2.14.4. Full-Text Indexing – The document repository should provide full-text indexing.
 - 2.14.5. Text Search – The document repository should provide for text search for a single word, for multiple words or phrase, and for word in context.
 - 2.14.6. Compound Search – The document repository should include the ability to search for a document using a single search including more than one of the listed search types.
 - 2.14.7. Search Document List – The document repository should include the ability to apply a new search criteria or a refined search criteria against a list of documents created by a prior search.
- 3. Security – The document repository should include a security system.

- 3.1. Document Read Access – The document repository should provide the ability to assign or deny read access at the document, folder, or repository level for a group or an individual
- 3.2. Document Create Access – The document repository should provide the ability to assign create access to a document type, a folder type, a specific folder, or the entire repository to a group or an individual.
- 3.3. Document Update Access - The document repository should provide the ability to assign update access to a document type, a folder type, a specific folder, or the entire repository to a group or an individual.
- 3.4. Document Delete Access - The document repository should provide the ability to assign delete access to a document type, a folder type, a specific folder, or the entire repository to a group or an individual.
- 3.5. Document/System Change Log – The document repository should include a change log noting user, date, time and document for all document access and changes. This log function should be able to be activated or deactivated on the repository or folder level.
4. Document Archiving – The document repository should provide a function that will move a document or folder from on-line magnetic media to on-line optical media, near-line storage, or off-line storage.
 - 4.1. Archive document – The document repository should provide an automatic or manual function to move documents to archival storage media. During archiving the repository should keep track of where the document has been moved to facilitate later retrieval.
 - 4.2. Retrieve Archived Document – The document repository should provide the ability to retrieve documents from archive. A document retrieved from on-line archive should be moved temporarily to magnetic media and later purged based on system magnetic media retention parameters. A document checked out from archive should be moved to magnetic media. The document repository should allow for edit and versioning of documents retrieved from archive.
 - 4.3. On-line Archive – The document repository should support on-line archive technology.
 - 4.4. Near Line Archive – The document repository should support near line archive with automatic retrieval.
 - 4.5. Off-line Archive – The document repository should support off-line archive. Should a document be requested from off-line archive the requesting user should be notified that the document is off-line and directed to mount the required media.
5. Document Scanning – The system proposed should include the ability to support both high volume and incidental scanning.
 - 5.1. Medium Volume Scanning – The system proposed should include the ability to attach medium volume duplex scanners and to support scan to repository.
 - 5.2. Incidental Scanning – The system proposed should include the ability to submit an image scanned on a low volume scanner for processing and submission to the repository.
 - 5.3. Full document OCR – The system proposed should include document OCR.
 - 5.4. Keyword OCR – The system proposed should include the ability to define a document format including OCR scanning of a specific section of the document to complete keyword document indexing of the document.

- 5.5. Browser Document Access – The system proposed should allow an authorized user to retrieve, view, check-in, or check-out documents using a web browser.
- 6. Other Features
 - 6.1. Active Directory Integration – The document repository should include ability to authenticate users using Active Directory.
- 7. Work Flow Management
 - 7.1. The system should provide a document work flow function.
 - 7.2. Define Work Flows – system should provide the ability to define simple and complex work flows.
 - 7.3. Monitor Work Flow Status – The system should provide the ability to monitor the status of a specific work flow, including step in work flow, time in step, remaining steps
 - 7.4. Take Action based on Status – The system should be able to take actions based on status of the work flow or action taken. Work flow branches should be activated based on action of operator or on time in a current status.
 - 7.5. Compound Action Criteria – The system should allow work flow branches to be defined based on compound conditions.
- 8. HACP Offices and Departments
 - 8.1. The following is a list of office and departments, the relative size of the office, the major sources of documents and the major areas of responsibility. This is intended to provide the vendor the scale and size of the associated project
 - 8.2. Executive Office
 - 8.2.1. The Executive Office includes Executive Management, Board Support, and Governmental Relations.
 - 8.2.2. Document Sources –
 - 8.2.2.1. The Executive Office receives documents, both electronic and hard copy, from sources external to the agency. These documents may be related to the operations and management of the agency or may be related to government relations or regulatory compliance
 - 8.2.2.2. The Executive Office may create correspondence to internal and external correspondents.
 - 8.2.2.3. The Executive Office may review and comment on documents generated internal to the Agency which should be stored in the document repository.
 - 8.2.2.4. There are 5 employees in the Executive Office
 - 8.3. Finance Department
 - 8.3.1. The Finance Department includes accounting, payroll, budget, accounts payable, and tenant accounts receivable.
 - 8.3.2. Document Sources – Payroll
 - 8.3.2.1. The Payroll staff receives documents from departments and employees needed to process and administer payroll. Current documents include payroll timesheets. Timesheets will be replaced by an on-line time reporting system within the next six months.

- 8.3.2.2. The Payroll staff receives reports from the payroll provider including pay stub reports and departmental expense reports.
- 8.3.2.3. There are 2 payroll staff members
- 8.3.3. Document Sources – Accounting
 - 8.3.3.1. Accounting Staff generate documents from with the core application, including Journal Entries and accounting reports.
 - 8.3.3.2. Accounting Staff receives documents needed to support financial transactions. These documents may be electronic or hard copy and may be internally or externally generated.
 - 8.3.3.3. There are 3 accounting staff members
- 8.3.4. Document Sources – Budget
 - 8.3.4.1. The Budget staff receives and processes budget requests. These are spreadsheets containing budget requests and supporting documentation.
 - 8.3.4.2. The Budget staff generates documents similar to the Accounting Staff
 - 8.3.4.3. There are 3 budget staff members
- 8.3.5. Document Sources – Accounts Payable
 - 8.3.5.1. Accounts Payable staff receives invoices from vendors. Invoices are received and routed to other Department managers for payment authorization. Accounts payable receives authorization for payment from other departments and process payments.
 - 8.3.5.2. Accounts Payable staff generates checks for both vendors of goods and services.
 - 8.3.5.3. Accounts Payable staff generates checks to Housing Choice Voucher landlords participating in the HCV program.
 - 8.3.5.4. There are 2 Accounts Payable staff members
- 8.3.6. Document Sources – Tenant Accounts Receivable
 - 8.3.6.1. Accounts Receivable staff receives payment documents in electronic format from the lockbox processing vendor.
 - 8.3.6.2. Accounts Receivable staff generates various documents associated with collection of rent and charges associated with late payment.
 - 8.3.6.3. There is 1 member tenant accounts receivable staff.
- 8.3.7. Document Sources – Finance Management.
 - 8.3.7.1. Finance Department management receives documents associated with various development and financing projects of the Authority. These documents may be hard copy or electronic.
 - 8.3.7.2. There are 2 finance department management staff members.
- 8.4. Legal Department
 - 8.4.1. The Legal Department provides support to all department of the agency and manages multiple external agencies who provide specialized support. The Legal Department also manages the Authority insurance and risk management functions.
 - 8.4.2. Legal Department Data Sources

- 8.4.2.1. The Legal Department receives various documents associated with the litigation and legal proceedings. These documents may be electronic or hard copy.
- 8.4.2.2. The Legal Department generates various documents both legal actions and documents supporting the legal operations of the Authority.
- 8.4.2.3. The Legal Department receives documents associated with insurance management for the Authority
- 8.4.2.4. There are 6 staff members in the legal department 2 of which are attorneys.
- 8.5. Human Resources Department
 - 8.5.1. The Human Resources Department recruits employees and manages employee benefits. The Human Resources Department is also responsible for employee development, employee performance evaluations, and resolution of employee grievances.
 - 8.5.2. Human Resources Department Data Sources
 - 8.5.2.1. The Human Resources Department receives documents associated with the recruitment of employees
 - 8.5.2.2. The Human Resources Department receives documents associated with the evaluation of current employees.
 - 8.5.2.3. The Human Resources Department receives documents associated with the employee benefits and management.
 - 8.5.2.4. The Human Resources Department receives documents concerning the training and professional growth of the Authority staff.
 - 8.5.2.5. There are 6 Human Resources staff members.
- 8.6. Community Affairs Department
 - 8.6.1. The Community Affairs Department is the public relations department of the agency. This department is the liaison between the Authority and the media, public, residents and Authority staff.
 - 8.6.2. Community Affairs Document Sources
 - 8.6.2.1. Community Affairs creates news letters and public releases for the Authority.
 - 8.6.2.2. Community Affairs records the activities of the Authority including pictures and press releases associated with those activities
 - 8.6.2.3. There are 4 Community Affair staff members.
- 8.7. Resident Services Department
 - 8.7.1. The Resident Services Staff is assists Authority residents in their path toward economic self-sufficiency and full participation in the community.
 - 8.7.2. Resident Services Data Sources –
 - 8.7.2.1. The resident services receives and generates documents associated with case management for resident self sufficiency. Such documents include current status evaluations, improvement plans, correspondence, and progress reports.
 - 8.7.2.2. Resident Services is the primary user of Tracking at a Glance.
 - 8.7.2.3. There are XX resident services staff members.

8.8. Modernization and Development

8.8.1. Modernization and Development staff is responsible for major property development and capital improvement projects.

8.8.2. Modernization and Development data sources

8.8.2.1. Documents include architectural designs and drawings, constructions specifications, and as built drawings

8.8.2.2. Modernization and Development staff generates various documents associated with documenting the current physical status of Authority owned and managed properties

8.8.2.3. Modernization and Development generates various plans and drawings to support planning for future development.

8.8.2.4. Modernization and Development staff receives various documents associated with progress and regulatory compliance associated with each project managed by the department.

8.8.2.5. There are 13 Modernization and Development staff members.

8.9. Housing Choice Voucher Department

8.9.1. The Housing Choice Voucher program provide rental assistance vouchers to low income households, enabling the household to find safe, affordable housing in the private sector.

8.9.2. Housing Choice Voucher document sources

8.9.2.1. HCV staff generates documents, including lease contracts and 50058's associated with management of rental vouchers and regulator compliance. (The Authority manages 5,000 rental vouchers)

8.9.2.2. HCV staff receives various hard copy documents from clients to support the client request for and eligibility for rental assistance.

8.9.2.3. HCV staff generates documents and pictures associated with inspections of landlord rental properties to determine eligibility of property for rental assistance.

8.9.2.4. There are 25 staff members (including HQS inspectors)

8.10. Low Income Public Housing Department

8.10.1. The Low Income Public Housing staff manages 3,200 units of low income rental housing in 20 properties within the boundaries of the City of Pittsburgh.

8.10.2. LIPH Document Sources

8.10.2.1. LIPH staff generates documents, including lease contracts and 50058's associated with management of rental vouchers and regulator compliance

8.10.2.2. LIPH staff receives various hard copy documents from clients to support the client request for and eligibility for rental assistance.

8.10.2.3. LIH staff generates various documents associated with the management of rental property.

8.11. Occupancy Department

8.11.1. The Occupancy Department is responsible for determining eligibility for rental assistance or housing for persons applying for assistance by the Authority.

- 8.11.2. Occupancy Document Sources
 - 8.11.2.1. LIPH staff receives various hard copy documents from clients to support the client request for and eligibility for rental assistance.
- 8.12. Security Department
 - 8.12.1. The Security Department is the liaison between the Authority and law enforcement. The department monitors security cameras, monitors security guard services, and works with city police.
 - 8.12.2. Security Department Data Sources
 - 8.12.2.1. Security Department receives documents from security guard contractors, constables, and City Police. Documents may contain information requiring regulatory security compliance and auditing
 - 8.12.2.2. Security Department maintains documents generated by Authority systems relating to current status of Authority residents.
 - 8.12.2.3. Security Department maintains documents relating to investigations and other criminal proceedings.
 - 8.12.2.4. Security Department maintains full motion video of criminal activity on Authority properties to be used in resident actions.
 - 8.12.2.5. There are two Security staff members.
- 8.13. Operations Department
 - 8.13.1. The Operations department is the office of the Chief Operation Officer. Low Income Public Housing, Housing Choice Vouchers and Facility Services report to the Department of Operations.
 - 8.13.2. Operations Department Data Sources
 - 8.13.2.1. The Operations department receives reports generated by Authority systems used to determine the status of all Authority operations.
 - 8.13.2.2. The Operations department receives documents created by regulatory agencies relating to the ongoing operations of the Authority.
 - 8.13.2.3. The Operations department created and receives correspondence related to participants in Authority programs.
 - 8.13.2.4. There are 10 persons on the Operations Department staff.
- 8.14. Facility Services Department
 - 8.14.1. The Facility Services department is the internal maintenance service for the agency.
 - 8.14.2. Facility Services Department Data Sources.
 - 8.14.2.1. The Facility Services Department creates 2,500 work orders pre month. These work orders are distributed to workers who enter hand written information and return the work order to the department.
 - 8.14.2.2. The Facility Services department maintains as built drawings for a variety of properties and systems within the properties.
 - 8.14.2.3. The Facility Services Department receives and creates general correspondence.

- 8.14.2.4. There are 10 Facility Services administrative staff.
 - 8.15. Procurement Department
 - 8.15.1. The Procurement is responsible for all purchasing for the Agency. The Procurement Department acquires goods and services in compliance with Federal, State, and Local regulations.
 - 8.15.2. Procurement Office Data Sources
 - 8.15.2.1. The Procurement Department creates Request for Proposals for all procurement activities of the agency.
 - 8.15.2.2. The Procurement Department receives proposals from vendors including text documents and drawings.
 - 8.15.2.3. The Procurement Department receives proposal evaluation documents.
 - 8.15.2.4. The Procurement Department generates Purchase Orders for all purchases of the Authority.
 - 8.15.2.5. The Procurement Department receives proof of receipt documents for goods and services purchased by the agency
 - 8.15.2.6. There are 12 procurement and warehouse staff members.
 - 8.16. Disability Compliance Department
 - 8.16.1. The Disability Compliance Department is responsible for managing client requests for reasonable accommodation based on disability. The department oversees services to residents and assures regulator compliance.
 - 8.16.2. Disability Compliance Department Data Sources
 - 8.16.2.1. Disability Compliance receives documents related to request for reasonable accommodation from persons with disability.
 - 8.16.2.2. Disability Compliance receives documents used to determine eligibility for reasonable accommodation including but not limited to medical confirmations, actions of the Authority, and grievance proceedings.
 - 8.16.2.3. There are 2 persons in the Disability Compliance Department
 - 8.17. Information Services Department
 - 8.17.1. The Information Services Department is responsible for the technology infrastructure of the Authority. Infrastructure includes information technology, telephone systems, and security camera infrastructure.
 - 8.17.2. Information Services Department Data Sources
 - 8.17.2.1. Information Services receives general correspondence.
 - 8.17.2.2. Information Services maintains documents related to configuration and operation of Authority systems and infrastructure.
- There are 5 Information Services staff members.

EXHIBIT B
FEE SCHEDULE

Contractor will be paid based on the following:

Attachment J, Fee Sheet of RFP 600-10-12 to be incorporated here

EXHIBIT C - CERTIFICATION OF PROPOSER**REGARDING DEBARMENT SUSPENSION AND OTHER RESPONSIBILITY MATTERS**

(Proposer) _____ certifies to the best of its knowledge and belief, that it and its principals:

1. Are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from covered transactions by any Federal department or agency;
2. Have not within a three year period preceding this bid been convicted of or had civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain or performing a public (Federal, State or Local) transaction or contract under a public transaction: violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property;
3. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or Local) with commission of any of the offenses enumerated in paragraph (2) of this certification: and
4. Have not within a three year period preceding this bid had one or more public transaction (Federal, State or Local) terminated for cause or default.

If the Proposer is unable to certify to any of the statements in this certification, the Proposer shall attach an explanation to this certification.

(Proposer) _____ CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION AND UNDERSTANDS THAT THE PROVISIONS OF 31 U.S.C. SECTIONS 3801 ET SEQ. ARE APPLICABLE THERETO.

Signature and Title of Authorized Official

EXHIBIT D - CERTIFICATION REGARDING LOBBYING

I, _____,
Hereby Certify on (Name and Title of Authorized Official)

Behalf of _____ that
(Subcontractor)

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf _____ of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency. A Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL “ Disclosure Form to Report Lobbying”, in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature and Title of Authorized Official

EXHIBIT E - DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Public Reporting Burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, researching existing data sources, gathering and maintaining the data needed and completing and reviewing the collection of information. Please do not return your completed form to the Office of Management and Budget sent it to the address provided by the sponsoring agency.

1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: _____ Prime _____ Subawardee Tier _____, if known: Congressional District, if known:		5. If reporting entity in No. 4 if Subawardee, enter name and address of Prime. Congressional District, if known:
6. Federal Department/Agency:		6. Federal Program Name/Description: CFDA Number, if applicable:
8. Federal Action Number, if known:		9. Award Amount, if known: \$
10a. Name and Address of Lobbying Registrant (If individual, last name, first name, MI):		b. Individuals performing services (Include address if different from No. 10a) (last name, first name, MI):
I. Information requested through this form is authorized by Sec 319, Pub L. 101-121, 103 Stat. 750, as amended by Sec. 10: Pub. L. 104-65, Stat 700 (31 U.S.C. 1352). This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the above when this transaction was made entered into. This disclosure is required pursuant to 31 U.S.A.C. 1352. This information will be reported to the Congress semiannually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.		Signature _____ Print Name _____ Title: _____ Telephone No.: _____ Date: _____

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INSTRUCTIONS FOR COMPLETION OF SF-LLL DISCLOSURE OF LOBBY ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment of any lobby entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the SF-LLL-A Continuation Sheet for additional information in the space on the form is inadequate. Complete all items that apply for both the initial filing and material change reports. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobby activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a follow up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be a prime or a subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is in the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee" then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFOA) number for grants, cooperation agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number, Invitation for Bid (IFB) number; grant announcement number; the contract, grant or loan award number, the application/proposal control number assigned by the Federal agency. Include prefixes e.g. RFP-DE-90-00).
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individual (s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual or will be made 9planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box (es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box (es). Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date (s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal Official (s) or employee (s) contacted of the officer (s) employee (s) or Member (s) of Congress that were contacted.
15. Check whether or not a SF-LLL-A Continuation Sheet (s) is attached.
16. The certifying individual shall sign and date the form, print his/her name, title, and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response. Including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other respect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-004-5), Washington, D.C. 20503.

EXHIBIT F - CONFLICTS OF INTEREST

_____("Contractor") certifies
that:

1. No employee, officer, or agent of the Housing Authority of the City of Pittsburgh ("HACP") participated in the selection, or in the award or administration of the Contractor's Agreement with HACP, which would involve a conflict of interest, real or apparent. A conflict would arise when (i) a HACP employee, officer or agent, (ii) any member of his or her immediate family, (iii) his or her parents (iv) his or her business associates or (v) an organization that employs, or is about to employ, any of the foregoing, receives a payment from the Contractor or any affiliate thereof, or has a financial or other interest in the Contractor or the Contractor's Agreement with HACP.
2. Contractor shall not enter into any contract, subcontract or agreement with any officer, agent or employee of HACP during his or her tenure nor for one year thereafter shall any officer, agent or employee of HACP have any interest, direct or indirect, in the Contract Agreement, including the proceeds thereof.

CONTRACTOR

Date: _____, 2012

By: _____

Name: _____

Title: _____

ATTACHMENT B

Instructions to Offerors
Non-ConstructionU.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

- 03291 -

1. Preparation of Offers

(a) Offerors are expected to examine the statement of work, the proposed contract terms and conditions, and all instructions. Failure to do so will be at the offeror's risk.

(b) Each offeror shall furnish the information required by the solicitation. The offeror shall sign the offer and print or type its name on the cover sheet and each continuation sheet on which it makes an entry. Erasures or other changes must be initialed by the person signing the offer. Offers signed by an agent shall be accompanied by evidence of that agent's authority, unless that evidence has been previously furnished to the HA.

(c) Offers for services other than those specified will not be considered.

2. Submission of Offers

(a) Offers and modifications thereof shall be submitted in sealed envelopes or packages (1) addressed to the office specified in the solicitation, and (2) showing the time specified for receipt, the solicitation number, and the name and address of the offeror.

(b) Telegraphic offers will not be considered unless authorized by the solicitation; however, offers may be modified by written or telegraphic notice.

(c) Facsimile offers, modifications or withdrawals will not be considered unless authorized by the solicitation.

3. Amendments to Solicitations

(a) If this solicitation is amended, then all terms and conditions which are not modified remain unchanged.

(b) Offerors shall acknowledge receipt of any amendments to this solicitation by

- (1) signing and returning the amendment;
 - (2) identifying the amendment number and date in the space provided for this purpose on the form for submitting an offer,
 - (3) letter or telegram, or
 - (4) facsimile, if facsimile offers are authorized in the solicitation.
- The HA/ HUD must receive the acknowledgment by the time specified for receipt of offers.

4. Explanation to Prospective Offerors

Any prospective offeror desiring an explanation or interpretation of the solicitation, statement of work, etc., must request it in writing soon enough to allow a reply to reach all prospective offerors before the submission of their offers. Oral explanations or instructions given before the award of the contract will not be binding. Any information given to a prospective offeror concerning a solicitation will be furnished promptly to all other prospective offerors as an amendment of the solicitation, if that information is necessary in submitting offers or if the lack of it would be prejudicial to any other prospective offerors.

5. Responsibility of Prospective Contractor

(a) The HA shall award a contract only to a responsible prospective contractor who is able to perform successfully under the terms and conditions of the proposed contract. To be determined responsible, a prospective contractor must -

- (1) Have adequate financial resources to perform the contract, or the ability to obtain them;

- (2) Have a satisfactory performance record;
- (3) Have a satisfactory record of integrity and business ethics;
- (4) Have a satisfactory record of compliance with public policy (e.g., Equal Employment Opportunity); and
- (5) Not have been suspended, debarred, or otherwise determined to be ineligible for award of contracts by the Department of Housing and Urban Development or any other agency of the U.S. Government. Current lists of ineligible contractors are available for inspection at the HA/ HUD.

(b) Before an offer is considered for award, the offeror may be requested by the HA to submit a statement or other documentation regarding any of the foregoing requirements. Failure by the offeror to provide such additional information may render the offeror ineligible for award.

6. Late Submissions, Modifications, and Withdrawal of Offers

(a) Any offer received at the place designated in the solicitation after the exact time specified for receipt will not be considered unless it is received before award is made and it -

- (1) Was sent by registered or certified mail not later than the fifth calendar day before the date specified for receipt of offers (e.g., an offer submitted in response to a solicitation requiring receipt of offers by the 20th of the month must have been mailed by the 15th);
- (2) Was sent by mail, or if authorized by the solicitation, was sent by telegram or via facsimile, and it is determined by the HA/ HUD that the late receipt was due solely to mishandling by the HA/ HUD after receipt at the HA;
- (3) Was sent by U.S. Postal Service Express Mail Next Day Service - Post Office to Addressee, not later than 5:00 p.m. at the place of mailing two working days prior to the date specified for receipt of proposals. The term "working days" excludes weekends and U.S. Federal holidays; or
- (4) Is the only offer received.

(b) Any modification of an offer, except a modification resulting from the HA's request for "best and final" offer (if this solicitation is a request for proposals), is subject to the same conditions as in subparagraphs (a)(1), (2), and (3) of this provision.

(c) A modification resulting from the HA's request for "best and final" offer received after the time and date specified in the request will not be considered unless received before award and the late receipt is due solely to mishandling by the HA after receipt at the HA.

(d) The only acceptable evidence to establish the date of mailing of a late offer, modification, or withdrawal sent either by registered or certified mail is the U.S. or Canadian Postal Service postmark both on the envelope or wrapper and on the original receipt from the U.S. or Canadian Postal Service. Both postmarks must show a legible date or the offer, modification, or withdrawal shall be processed as if mailed late. "Postmark" means a printed, stamped, or otherwise placed impression (exclusive of a postage meter machine impression) that is readily identifiable without further action as having been supplied and affixed by employees of the U.S. or Canadian Postal Service on the date of mailing. Therefore, offerors should request the postal clerk to place a hand cancellation bull's-eye postmark on both the receipt and the envelope or wrapper.

(e) The only acceptable evidence to establish the time of receipt at the HA is the time/date stamp of HA on the offer wrapper or other documentary evidence of receipt maintained by the HA.

(f) The only acceptable evidence to establish the date of mailing of a late offer, modification, or withdrawal sent by Express Mail Next Day Service-Post Office to Addressee is the date entered by the post office receiving clerk on the "Express Mail Next Day Service-Post Office to Addressee" label and the postmark on both the envelope or wrapper and on the original receipt from the U.S. Postal Service. "Postmark" has the same meaning as defined in paragraph (c) of this provision, excluding postmarks of the Canadian Postal Service. Therefore, offerors should request the postal clerk to place a legible hand cancellation bull's eye postmark on both the receipt and the envelope or wrapper.

(g) Notwithstanding paragraph (a) of this provision, a late modification of an otherwise successful offer that makes its terms more favorable to the HA will be considered at any time it is received and may be accepted.

(h) If this solicitation is a request for proposals, proposals may be withdrawn by written notice, or if authorized by this solicitation, by telegram (including mailgram) or facsimile machine transmission received at any time before award. Proposals may be withdrawn in person by a offeror or its authorized representative if the identity of the person requesting withdrawal is established and the person signs a receipt for the offer before award. If this solicitation is an invitation for bids, bids may be withdrawn at any time prior to bid opening.

7. Contract Award

(a) The HA will award a contract resulting from this solicitation to the responsible offeror whose offer conforming to the solicitation will be most advantageous to the HA, cost or price and other factors, specified elsewhere in this solicitation, considered.

(b) The HA may

- (1) reject any or all offers if such action is in the HA's interest,
- (2) accept other than the lowest offer,
- (3) waive informalities and minor irregularities in offers received, and
- (4) award more than one contract for all or part of the requirements stated.

(c) If this solicitation is a request for proposals, the HA may award a contract on the basis of initial offers received, without discussions. Therefore, each initial offer should contain the offeror's best terms from a cost or price and technical standpoint.

(d) A written award or acceptance of offer mailed or otherwise furnished to the successful offeror within the time for acceptance specified in the offer shall result in a binding contract without further action by either party. If this solicitation is a request for proposals, before the offer's specified expiration time, the HA may accept an offer, whether or not there are negotiations after its receipt, unless a written notice of withdrawal is received before award. Negotiations conducted after receipt of an offer do not constitute a rejection or counteroffer by the HA.

(e) Neither financial data submitted with an offer, nor representations concerning facilities or financing, will form a part of the resulting contract.

8. Service of Protest

Any protest against the award of a contract pursuant to this solicitation shall be served on the HA by obtaining written and dated acknowledgment of receipt from the HA at the address shown on the cover of this solicitation. The determination of the HA with regard to such protest or to proceed to award notwithstanding such protest shall be final unless appealed by the protestor.

9. Offer Submission

Offers shall be submitted as follows and shall be enclosed in a sealed envelope and addressed to the office specified in the solicitation. The proposal shall show the hour and date specified in the solicitation for receipt, the solicitation number, and the name and address of the offeror, on the face of the envelope.

It is very important that the offer be properly identified on the face of the envelope as set forth above in order to insure that the date and time of receipt is stamped on the face of the offer envelope. Receiving procedures are: date and time stamp those envelopes identified as proposals and deliver them immediately to the appropriate contracting official, and only date stamp those envelopes which do not contain identification of the contents and deliver them to the appropriate procuring activity only through the routine mail delivery procedure.

[Describe bid or proposal preparation instructions here:]

ATTACHMENT C

General Conditions for Non-Construction Contracts

Section I – (With or without Maintenance Work)

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

Office of Labor Relations

OMB Approval No. 2577-0157 (exp. 01/31/2014)

Public Reporting Burden for this collection of information is estimated to average 0.08 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Office of Information Policies and Systems, U.S. Department of Housing and Urban Development, Washington, D.C. 20410-3600; and to the Office of Management and Budget, Paperwork Reduction Project (2577-0157), Washington, D.C. 20503. Do not send this completed form to either of these addressees.

Applicability. This form HUD-5370-C has 2 Sections. These Sections must be inserted into non-construction contracts as described below:

- 1) **Non-construction contracts** (without maintenance) greater than \$100,000 - use Section I;
- 2) **Maintenance contracts** (including nonroutine maintenance as defined at 24 CFR 968.105) greater than \$2,000 but not more than \$100,000 - use Section II; and
- 3) **Maintenance contracts** (including nonroutine maintenance), greater than \$100,000 – use Sections I and II.

Section I – Conditions for All Non-Construction Contracts greater than \$100,000

1. Definitions

The following definitions are applicable to this contract:

- (a) 'Authority or Housing Authority (HA)' means the Housing Authority.
- (b) 'Contract' means the contract entered into between the Authority and the Contractor. It includes the contract form, the Certifications and Representations, these contract clauses, and the scope of work. It includes all formal changes to any of those documents by addendum, Change Order, or other modification.
- (c) 'Contractor' means the person or other entity entering into the contract with the Authority to perform all of the work required under the contract.
- (d) 'Day' means calendar days, unless otherwise stated.
- (e) 'HUD' means the Secretary of Housing and Urban Development, his delegates, successors, and assigns, and the officers and employees of the United States Department of Housing and Urban Development acting for and on behalf of the Secretary.

2. Changes

- (a) The HA may at any time, by written order, and without notice to the sureties, if any, make changes within the general scope of this contract in the services to be performed or supplies to be delivered.
- (b) If any such change causes an increase or decrease in the hourly rate, the not-to-exceed amount of the contract, or the time required for performance of any part of the work under this contract, whether or not changed by the order, or otherwise affects the conditions of this contract, the HA shall make an equitable adjustment in the not-to-exceed amount, the hourly rate, the delivery schedule, or other affected terms, and shall modify the contract accordingly.
- (c) The Contractor must assert its right to an equitable adjustment under this clause within 30 days from the date of receipt of the written order. However, if the HA decides that the facts justify it, the HA may receive and act upon a

proposal submitted before final payment of the contract.

- (d) Failure to agree to any adjustment shall be a dispute under clause Disputes, herein. However, nothing in this clause shall excuse the Contractor from proceeding with the contract as changed.
- (e) No services for which an additional cost or fee will be charged by the Contractor shall be furnished without the prior written consent of the HA.

3. Termination for Convenience and Default

- (a) The HA may terminate this contract in whole, or from time to time in part, for the HA's convenience or the failure of the Contractor to fulfill the contract obligations (default). The HA shall terminate by delivering to the Contractor a written Notice of Termination specifying the nature, extent, and effective date of the termination. Upon receipt of the notice, the Contractor shall: (i) immediately discontinue all services affected (unless the notice directs otherwise); and (ii) deliver to the HA all information, reports, papers, and other materials accumulated or generated in performing this contract, whether completed or in process.
- (b) If the termination is for the convenience of the HA, the HA shall be liable only for payment for services rendered before the effective date of the termination.
- (c) If the termination is due to the failure of the Contractor to fulfill its obligations under the contract (default), the HA may (i) require the Contractor to deliver to it, in the manner and to the extent directed by the HA, any work as described in subparagraph (a)(ii) above, and compensation be determined in accordance with the Changes clause, paragraph 2, above; (ii) take over the work and prosecute the same to completion by contract or otherwise, and the Contractor shall be liable for any additional cost incurred by the HA; (iii) withhold any payments to the Contractor, for the purpose of off-set or partial payment, as the case may be, of amounts owed to the HA by the Contractor.
- (d) If, after termination for failure to fulfill contract obligations (default), it is determined that the Contractor had not failed, the termination shall be deemed to have been effected for the convenience of the HA, and the Contractor shall be entitled to payment as described in paragraph (b) above.
- (e) Any disputes with regard to this clause are expressly made subject to the terms of clause titled Disputes herein.

4. Examination and Retention of Contractor's Records

- (a) The HA, HUD, or Comptroller General of the United States, or any of their duly authorized representatives shall, until 3 years after final payment under this contract, have access to and the right to examine any of the Contractor's directly pertinent books, documents, papers, or other records involving transactions related to this contract for the purpose of making audit, examination, excerpts, and transcriptions.

- (b) The Contractor agrees to include in first-tier subcontracts under this contract a clause substantially the same as paragraph (a) above. "Subcontract," as used in this clause, excludes purchase orders not exceeding \$10,000.
- (c) The periods of access and examination in paragraphs (a) and (b) above for records relating to:
 - (i) appeals under the clause titled Disputes;
 - (ii) litigation or settlement of claims arising from the performance of this contract; or,
 - (iii) costs and expenses of this contract to which the HA, HUD, or Comptroller General or any of their duly authorized representatives has taken exception shall continue until disposition of such appeals, litigation, claims, or exceptions.

5. Rights in Data (Ownership and Proprietary Interest)

The HA shall have exclusive ownership of, all proprietary interest in, and the right to full and exclusive possession of all information, materials and documents discovered or produced by Contractor pursuant to the terms of this Contract, including but not limited to reports, memoranda or letters concerning the research and reporting tasks of this Contract.

6. Energy Efficiency

The contractor shall comply with all mandatory standards and policies relating to energy efficiency which are contained in the energy conservation plan issued in compliance with the Energy Policy and Conservation Act (Pub.L. 94-163) for the State in which the work under this contract is performed.

7. Disputes

- (a) All disputes arising under or relating to this contract, except for disputes arising under clauses contained in Section III, Labor Standards Provisions, including any claims for damages for the alleged breach there of which are not disposed of by agreement, shall be resolved under this clause.
- (b) All claims by the Contractor shall be made in writing and submitted to the HA. A claim by the HA against the Contractor shall be subject to a written decision by the HA.
- (c) The HA shall, with reasonable promptness, but in no event in no more than 60 days, render a decision concerning any claim hereunder. Unless the Contractor, within 30 days after receipt of the HA's decision, shall notify the HA in writing that it takes exception to such decision, the decision shall be final and conclusive.
- (d) Provided the Contractor has (i) given the notice within the time stated in paragraph (c) above, and (ii) excepted its claim relating to such decision from the final release, and (iii) brought suit against the HA not later than one year after receipt of final payment, or if final payment has not been made, not later than one year after the Contractor has had a reasonable time to respond to a written request by the HA that it submit a final voucher and release, whichever is earlier, then the HA's decision shall not be final or conclusive, but the dispute shall be determined on the merits by a court of competent jurisdiction.
- (e) The Contractor shall proceed diligently with performance of this contract, pending final resolution of any request for relief, claim, appeal, or action arising under the contract, and comply with any decision of the HA.

8. Contract Termination; Debarment

A breach of these Contract clauses may be grounds for termination of the Contract and for debarment or denial of participation in HUD programs as a Contractor and a subcontractor as provided in 24 CFR Part 24.

9. Assignment of Contract

The Contractor shall not assign or transfer any interest in this contract; except that claims for monies due or to become due from the HA under the contract may be assigned to a bank, trust company, or other financial institution. If the Contractor is a partnership, this contract shall inure to the benefit of the surviving or remaining member(s) of such partnership approved by the HA.

10. Certificate and Release

Prior to final payment under this contract, or prior to settlement upon termination of this contract, and as a condition precedent thereto, the Contractor shall execute and deliver to the HA a certificate and release, in a form acceptable to the HA, of all claims against the HA by the Contractor under and by virtue of this contract, other than such claims, if any, as may be specifically excepted by the Contractor in stated amounts set forth therein.

11. Organizational Conflicts of Interest

- (a) The Contractor warrants that to the best of its knowledge and belief and except as otherwise disclosed, it does not have any organizational conflict of interest which is defined as a situation in which the nature of work under this contract and a contractor's organizational, financial, contractual or other interests are such that
 - (i) Award of the contract may result in an unfair competitive advantage; or
 - (ii) The Contractor's objectivity in performing the contract work may be impaired.
- (b) The Contractor agrees that if after award it discovers an organizational conflict of interest with respect to this contract or any task/delivery order under the contract, he or she shall make an immediate and full disclosure in writing to the Contracting Officer which shall include a description of the action which the Contractor has taken or intends to take to eliminate or neutralize the conflict. The HA may, however, terminate the contract or task/delivery order for the convenience of the HA if it would be in the best interest of the HA.
- (c) In the event the Contractor was aware of an organizational conflict of interest before the award of this contract and intentionally did not disclose the conflict to the Contracting Officer, the HA may terminate the contract for default.
- (d) The terms of this clause shall be included in all subcontracts and consulting agreements wherein the work to be performed is similar to the service provided by the prime Contractor. The Contractor shall include in such subcontracts and consulting agreements any necessary provisions to eliminate or neutralize conflicts of interest.

12. Inspection and Acceptance

- (a) The HA has the right to review, require correction, if necessary, and accept the work products produced by the Contractor. Such review(s) shall be carried out within 30 days so as to not impede the work of the Contractor. Any

product of work shall be deemed accepted as submitted if the HA does not issue written comments and/or required corrections within 30 days from the date of receipt of such product from the Contractor.

- (b) The Contractor shall make any required corrections promptly at no additional charge and return a revised copy of the product to the HA within 7 days of notification or a later date if extended by the HA.
- (c) Failure by the Contractor to proceed with reasonable promptness to make necessary corrections shall be a default. If the Contractor's submission of corrected work remains unacceptable, the HA may terminate this contract (or the task order involved) or reduce the contract price or cost to reflect the reduced value of services received.

13. Interest of Members of Congress

No member of or delegate to the Congress of the United States of America or Resident Commissioner shall be admitted to any share or part of this contract or to any benefit to arise there from, but this provision shall not be construed to extend to this contract if made with a corporation for its general benefit.

14. Interest of Members, Officers, or Employees and Former Members, Officers, or Employees

No member, officer, or employee of the HA, no member of the governing body of the locality in which the project is situated, no member of the governing body in which the HA was activated, and no other public official of such locality or localities who exercises any functions or responsibilities with respect to the project, shall, during his or her tenure, or for one year thereafter, have any interest, direct or indirect, in this contract or the proceeds thereof.

15. Limitation on Payments to Influence Certain Federal Transactions

(a) Definitions. As used in this clause:

"Agency", as defined in 5 U.S.C. 552(f), includes Federal executive departments and agencies as well as independent regulatory commissions and Government corporations, as defined in 31 U.S.C. 9101(1).

"Covered Federal Action" means any of the following Federal actions:

- (i) The awarding of any Federal contract;
- (ii) The making of any Federal grant;
- (iii) The making of any Federal loan;
- (iv) The entering into of any cooperative agreement; and,
- (v) The extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

Covered Federal action does not include receiving from an agency a commitment providing for the United States to insure or guarantee a loan.

"Indian tribe" and "tribal organization" have the meaning provided in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450B). Alaskan Natives are included under the definitions of Indian tribes in that Act.

"Influencing or attempting to influence" means making, with the intent to influence, any communication to or appearance before an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any covered Federal action.

"Local government" means a unit of government in a State and, if chartered, established, or otherwise recognized by a State for the performance of a governmental duty, including a local public authority, a special district, an intrastate district, a council of governments, a sponsor group representative organization, and any other instrumentality of a local government.

"Officer or employee of an agency" includes the following individuals who are employed by an agency:

- (i) An individual who is appointed to a position in the Government under title 5, U.S.C., including a position under a temporary appointment;
- (ii) A member of the uniformed services as defined in section 202, title 18, U.S.C.;
- (iii) A special Government employee as defined in section 202, title 18, U.S.C.; and,
- (iv) An individual who is a member of a Federal advisory committee, as defined by the Federal Advisory Committee Act, title 5, appendix 2.

"Person" means an individual, corporation, company, association, authority, firm, partnership, society, State, and local government, regardless of whether such entity is operated for profit or not for profit. This term excludes an Indian tribe, tribal organization, or other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Recipient" includes all contractors, subcontractors at any tier, and subgrantees at any tier of the recipient of funds received in connection with a Federal contract, grant, loan, or cooperative agreement. The term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Regularly employed means, with respect to an officer or employee of a person requesting or receiving a Federal contract, grant, loan, or cooperative agreement, an officer or employee who is employed by such person for at least 130 working days within one year immediately preceding the date of the submission that initiates agency consideration of such person for receipt of such contract, grant, loan, or cooperative agreement. An officer or employee who is employed by such person for less than 130 working days within one year immediately preceding the date of submission that initiates agency consideration of such person shall be considered to be regularly employed as soon as he or she is employed by such person for 130 working days.

"State" means a State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, a territory or possession of the United States, an agency or instrumentality of a State, and a multi-State, regional, or interstate entity having governmental duties and powers.

(b) Prohibition.

- (i) Section 1352 of title 31, U.S.C. provides in part that no appropriated funds may be expended by the recipient of a Federal contract, grant, loan, or cooperative agreement to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following covered Federal actions: the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (ii) The prohibition does not apply as follows:

(1) Agency and legislative liaison by Own Employees.

(a) The prohibition on the use of appropriated funds, in paragraph (i) of this section, does not apply in the case of a payment of reasonable compensation made to an officer or employee of a person requesting or receiving a Federal contract, grant, loan, or cooperative agreement, if the payment is for agency and legislative activities not directly related to a covered Federal action.

(b) For purposes of paragraph (b)(i)(1)(a) of this clause, providing any information specifically requested by an agency or Congress is permitted at any time.

(c) The following agency and legislative liaison activities are permitted at any time only where they are not related to a specific solicitation for any covered Federal action:

(1) Discussing with an agency (including individual demonstrations) the qualities and characteristics of the person's products or services, conditions or terms of sale, and service capabilities; and,

(2) Technical discussions and other activities regarding the application or adaptation of the person's products or services for an agency's use.

(d) The following agency and legislative liaison activities are permitted where they are prior to formal solicitation of any covered Federal action:

(1) Providing any information not specifically requested but necessary for an agency to make an informed decision about initiation of a covered Federal action;

(2) Technical discussions regarding the preparation of an unsolicited proposal prior to its official submission; and

(3) Capability presentations by persons seeking awards from an agency pursuant to the provisions of the Small Business Act, as amended by Public Law 95-507 and other subsequent amendments.

(e) Only those activities expressly authorized by subdivision (b)(ii)(1)(a) of this clause are permitted under this clause.

(2) Professional and technical services.

(a) The prohibition on the use of appropriated funds, in subparagraph (b)(i) of this clause, does not apply in the case of-

(i) A payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action, if payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action.

(ii) Any reasonable payment to a person, other than an officer or employee of a

person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action if the payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action. Persons other than officers or employees of a person requesting or receiving a covered Federal action include consultants and trade associations.

(b) For purposes of subdivision (b)(ii)(2)(a) of clause, "professional and technical services" shall be limited to advice and analysis directly applying any professional or technical discipline.

(c) Requirements imposed by or pursuant to law as a condition for receiving a covered Federal award include those required by law or regulation, or reasonably expected to be required by law or regulation, and any other requirements in the actual award documents.

(d) Only those services expressly authorized by subdivisions (b)(ii)(2)(a)(i) and (ii) of this section are permitted under this clause.

(iii) Selling activities by independent sales representatives.

(c) The prohibition on the use of appropriated funds, in subparagraph (b)(i) of this clause, does not apply to the following selling activities before an agency by independent sales representatives, provided such activities are prior to formal solicitation by an agency and are specifically limited to the merits of the matter:

(i) Discussing with an agency (including individual demonstration) the qualities and characteristics of the person's products or services, conditions or terms of sale, and service capabilities; and

(ii) Technical discussions and other activities regarding the application or adaptation of the person's products or services for an agency's use.

(d) Agreement. In accepting any contract, grant, cooperative agreement, or loan resulting from this solicitation, the person submitting the offer agrees not to make any payment prohibited by this clause.

(e) Penalties. Any person who makes an expenditure prohibited under paragraph (b) of this clause shall be subject to civil penalties as provided for by 31 U.S.C. 1352. An imposition of a civil penalty does not prevent the Government from seeking any other remedy that may be applicable.

(f) Cost Allowability. Nothing in this clause is to be interpreted to make allowable or reasonable any costs which would be unallowable or unreasonable in accordance with Part 31 of the Federal Acquisition Regulation (FAR), or OMB Circulars dealing with cost allowability for recipients of assistance agreements. Conversely, costs made specifically unallowable by the requirements in this clause will not be made allowable under any of the provisions of FAR Part 31 or the relevant OMB Circulars.

16. Equal Employment Opportunity

During the performance of this contract, the Contractor agrees as follows:

- (a) The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin.
- (b) The Contractor shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, or national origin. Such action shall include, but not be limited to (1) employment; (2) upgrading; (3) demotion; (4) transfer; (5) recruitment or recruitment advertising; (6) layoff or termination; (7) rates of pay or other forms of compensation; and (8) selection for training, including apprenticeship.
- (c) The Contractor shall post in conspicuous places available to employees and applicants for employment the notices to be provided by the Contracting Officer that explain this clause.
- (d) The Contractor shall, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.
- (e) The Contractor shall send, to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, the notice to be provided by the Contracting Officer advising the labor union or workers' representative of the Contractor's commitments under this clause, and post copies of the notice in conspicuous places available to employees and applicants for employment.
- (f) The Contractor shall comply with Executive Order 11246, as amended, and the rules, regulations, and orders of the Secretary of Labor.
- (g) The Contractor shall furnish all information and reports required by Executive Order 11246, as amended and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto. The Contractor shall permit access to its books, records, and accounts by the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- (h) In the event of a determination that the Contractor is not in compliance with this clause or any rule, regulation, or order of the Secretary of Labor, this contract may be canceled, terminated, or suspended in whole or in part, and the Contractor may be declared ineligible for further Government contracts, or federally assisted construction contracts under the procedures authorized in Executive Order 11246, as amended. In addition, sanctions may be imposed and remedies invoked against the Contractor as provided in Executive Order 11246, as amended, the rules, regulations, and orders of the Secretary of Labor, or as otherwise provided by law.
- (i) The Contractor shall include the terms and conditions of this clause in every subcontract or purchase order unless exempted by the rules, regulations, or orders of the Secretary of Labor issued under Executive Order 11246, as amended, so that these terms and conditions will be binding upon each subcontractor or vendor. The Contractor shall take such action with respect to any subcontractor or purchase order as the Secretary of Housing and Urban Development or the Secretary of Labor may direct as a means of enforcing such provisions, including sanctions for noncompliance; provided that if the

Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction, the Contractor may request the United States to enter into the litigation to protect the interests of the United States.

17. Dissemination or Disclosure of Information

No information or material shall be disseminated or disclosed to the general public, the news media, or any person or organization without prior express written approval by the HA.

18. Contractor's Status

It is understood that the Contractor is an independent contractor and is not to be considered an employee of the HA, or assume any right, privilege or duties of an employee, and shall save harmless the HA and its employees from claims suits, actions and costs of every description resulting from the Contractor's activities on behalf of the HA in connection with this Agreement.

19. Other Contractors

HA may undertake or award other contracts for additional work at or near the site(s) of the work under this contract. The contractor shall fully cooperate with the other contractors and with HA and HUD employees and shall carefully adapt scheduling and performing the work under this contract to accommodate the additional work, heeding any direction that may be provided by the Contracting Officer. The contractor shall not commit or permit any act that will interfere with the performance of work by any other contractor or HA employee.

20. Liens

The Contractor is prohibited from placing a lien on HA's property. This prohibition shall apply to all subcontractors.

21. Training and Employment Opportunities for Residents in the Project Area (Section 3, HUD Act of 1968; 24 CFR 135)

- (a) The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
- (b) The parties to this contract agree to comply with HUD's regulations in 24 CFR Part 135, which implement section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the Part 135 regulations.
- (c) The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of

apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

- (d) The contractor agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 CFR Part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR Part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR Part 135.
- (e) The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR Part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR Part 135.
- (f) Noncompliance with HUD's regulations in 24 CFR Part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

22. Procurement of Recovered Materials

- (a) In accordance with Section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act, the Contractor shall procure items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR Part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition. The Contractor shall procure items designated in the EPA guidelines that contain the highest percentage of recovered materials practicable unless the Contractor determines that such items: (1) are not reasonably available in a reasonable period of time; (2) fail to meet reasonable performance standards, which shall be determined on the basis of the guidelines of the National Institute of Standards and Technology, if applicable to the item; or (3) are only available at an unreasonable price.
- (b) Paragraph (a) of this clause shall apply to items purchased under this contract where: (1) the Contractor purchases in excess of \$10,000 of the item under this contract; or (2) during the preceding Federal fiscal year, the Contractor: (i) purchased any amount of the items for use under a contract that was funded with Federal appropriations and was with a Federal agency or a State agency or agency of a political subdivision of a State; and (ii) purchased a total of in excess of \$10,000 of the item both under and outside that contract.

ATTACHMENT C.1 – SUPPLEMENTAL GENERAL CONDITIONS

SUPPLEMENTAL GENERAL CONDITIONS

To the extent that there is a conflict between the terms of the General Conditions and the terms of the Supplemental General Conditions, the terms of the Supplemental General Conditions shall govern to the extent of such conflict.

If HUD 5370 applies:

Section 31(e) of the General Conditions shall be deleted in its entirety and replaced by the following:

31(e). Forum. The Contracting Officer's decision shall be final unless, within thirty (30) days of receipt of the Contracting Officer's decision, the Contractor files suit in a court of competent jurisdiction.

If HUD 5370-EZ applies:

Section 3(d) of the General Conditions shall be deleted in its entirety and replaced by the following:

31(d). Forum. The Contracting Officer's decision shall be final unless, within thirty (30) days of receipt of the Contracting Officer's decision, the Contractor files suit in a court of competent jurisdiction.

If HUD 5370-C applies:

Section 1 Item 7(d) of the General Conditions shall be deleted in its entirety and replaced by the following:

Section 1 Item 7(d). Forum. The Contracting Officer's decision shall be final unless, within thirty (30) days of receipt of the Contracting Officer's decision, the Contractor files suit in a court of competent jurisdiction.

HOUSING AUTHORITY OF THE CITY OF PITTSBURGH

Date: _____ Signature: _____
Contracting Officer

=====

Vendor Name(Insert vendor company name above)

Date: _____ Signature: _____

Title: _____

Certifications and Representations of Offerors

Non-Construction Contract

ATTACHMENT D

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Public reporting burden for this collection of information is estimated to average 5 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

This form includes clauses required by OMB's common rule on bidding/offering procedures, implemented by HUD in 24 CFR 85.36, and those requirements set forth in Executive Order 11625 for small, minority, women-owned businesses, and certifications for independent price determination, and conflict of interest. The form is required for nonconstruction contracts awarded by Housing Agencies (HAs). The form is used by bidders/offerors to certify to the HA's Contracting Officer for contract compliance. If the form were not used, HAs would be unable to enforce their contracts. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality.

1. Contingent Fee Representation and Agreement

(a) The bidder/offeror represents and certifies as part of its bid/offer that, except for full-time bona fide employees working solely for the bidder/offeror, the bidder/offeror:

- (1) ☐ has, ☐ has not employed or retained any person or company to solicit or obtain this contract; and
- (2) ☐ has, ☐ has not paid or agreed to pay to any person or company employed or retained to solicit or obtain this contract any commission, percentage, brokerage, or other fee contingent upon or resulting from the award of this contract.

(b) If the answer to either (a)(1) or (a) (2) above is affirmative, the bidder/offeror shall make an immediate and full written disclosure to the PHA Contracting Officer.

(c) Any misrepresentation by the bidder/offeror shall give the PHA the right to (1) terminate the resultant contract; (2) at its discretion, to deduct from contract payments the amount of any commission, percentage, brokerage, or other contingent fee; or (3) take other remedy pursuant to the contract.

2. Small, Minority, Women-Owned Business Concern Representation

The bidder/offeror represents and certifies as part of its bid/offer that it:

- (a) ☐ is, ☐ is not a small business concern. "Small business concern," as used in this provision, means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding, and qualified as a small business under the criteria and size standards in 13 CFR 121.
- (b) ☐ is, ☐ is not a women-owned small business concern. "Women-owned," as used in this provision, means a small business that is at least 51 percent owned by a woman or women who are U.S. citizens and who also control and operate the business.
- (c) ☐ is, ☐ is not a minority enterprise which, pursuant to Executive Order 11625, is defined as a business which is at least 51 percent owned by one or more minority group members or, in the case of a publicly owned business, at least 51 percent of its voting stock is owned by one or more minority group members, and whose management and daily operations are controlled by one or more such individuals.

For the purpose of this definition, minority group members are:

(Check the block applicable to you)

- | | |
|---|---|
| <input type="checkbox"/> Black Americans | <input type="checkbox"/> Asian Pacific Americans |
| <input type="checkbox"/> Hispanic Americans | <input type="checkbox"/> Asian Indian Americans |
| <input type="checkbox"/> Native Americans | <input type="checkbox"/> Hasidic Jewish Americans |

3. Certificate of Independent Price Determination

(a) The bidder/offeror certifies that—

- (1) The prices in this bid/offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other bidder/offeror or competitor relating to (i) those prices, (ii) the intention to submit a bid/offer, or (iii) the methods or factors used to calculate the prices offered;

- (2) The prices in this bid/offer have not been and will not be knowingly disclosed by the bidder/offeror, directly or indirectly, to any other bidder/offeror or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated solicitation) unless otherwise required by law; and

- (3) No attempt has been made or will be made by the bidder/offeror to induce any other concern to submit or not to submit a bid/offer for the purpose of restricting competition.

(b) Each signature on the bid/offer is considered to be a certification by the signatory that the signatory:

- (1) Is the person in the bidder/offeror's organization responsible for determining the prices being offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above; or

- (2) (i) Has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above (insert full name of person(s) in the bidder/offeror's organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the bidder/offeror's organization);

- (ii) As an authorized agent, does certify that the principals named in subdivision (b)(2)(i) above have not participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above; and

- (iii) As an agent, has not personally participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above.
- (c) If the bidder/offeree deletes or modifies subparagraph (a)2 above, the bidder/offeree must furnish with its bid/offer a signed statement setting forth in detail the circumstances of the disclosure.

4. Organizational Conflicts of Interest Certification

- (a) The Contractor warrants that to the best of its knowledge and belief and except as otherwise disclosed, it does not have any organizational conflict of interest which is defined as a situation in which the nature of work under a proposed contract and a prospective contractor's organizational, financial, contractual or other interest are such that:
 - (i) Award of the contract may result in an unfair competitive advantage;
 - (ii) The Contractor's objectivity in performing the contract work may be impaired; or
 - (iii) That the Contractor has disclosed all relevant information and requested the HA to make a determination with respect to this Contract.
- (b) The Contractor agrees that if after award he or she discovers an organizational conflict of interest with respect to this contract, he or she shall make an immediate and full disclosure in writing to the HA which shall include a description of the action which the Contractor has taken or intends to eliminate or neutralize the conflict. The HA may, however, terminate the Contract for the convenience of HA if it would be in the best interest of HA.
- (c) In the event the Contractor was aware of an organizational conflict of interest before the award of this Contract and intentionally did not disclose the conflict to the HA, the HA may terminate the Contract for default.
- (d) The Contractor shall require a disclosure or representation from subcontractors and consultants who may be in a position to influence the advice or assistance rendered to the HA and shall include any necessary provisions to eliminate or neutralize conflicts of interest in consultant agreements or subcontracts involving performance or work under this Contract.

5. Authorized Negotiators (RFPs only)

The offeror represents that the following persons are authorized to negotiate on its behalf with the PHA in connection with this request for proposals: (list names, titles, and telephone numbers of the authorized negotiators):

6. Conflict of Interest

In the absence of any actual or apparent conflict, the offeror, by submission of a proposal, hereby warrants that to the best of its knowledge and belief, no actual or apparent conflict of interest exists with regard to my possible performance of this procurement, as described in the clause in this solicitation titled "Organizational Conflict of Interest."

7. Offeror's Signature

The offeror hereby certifies that the information contained in these certifications and representations is accurate, complete, and current.

Signature & Date:

Typed or Printed Name:

Title:

ATTACHMENT E - SPECIAL PARTICIPATION SUMMARY**I. SMALL BUSINESS PARTICIPATION**

Is the Offeror a Small Business as defined by the size and standards in 13 CFR 121?

Yes _____ No _____

II. MINORITY BUSINESS PARTICIPATION

Is the Offeror classified as a Minority Business Enterprise as defined in Art. 2, Part C of HUD-5369-C?

Yes _____ No _____

If "No", are any Consultants classified as Minority Business enterprises?

Yes _____ No _____

If "Yes", please fill in the following chart:

Consulting Firm(s) (MBE)	\$ Value Contract	% of Fee

III. WOMEN-OWNED BUSINESS PARTICIPATION

Is the Offeror classified as a Woman-Owned Business Enterprise as defined in Art. 2, Part C of HUD-5369-C?

Yes _____ No _____

If "No", are any Consultants classified as Women-Owned Business Enterprises?

Yes _____ No _____

If "Yes", please fill in the following chart:

Consulting Firm(s) (WBE)	\$ Value Contract	% of Fee

Offeror: _____

RFP#: _____

Due Date: _____

ATTACHMENT F - Section 3 Clause

A. The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (Section 3). The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.

B. The parties to this contract agree to comply with HUD's regulations in 24 CFR part 135, which implement Section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the part 135 regulations.

C. The contractor agrees to send to each labor organization or representative or workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this Section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the Section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

D. The contractor agrees to include this Section 3 clause in every subcontract subject to compliance with regulations in 24 CFR part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR part 135.

E. The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR part 135.

F. Noncompliance with HUD's regulations in 24 CFR part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

G. With respect to work performed in connection with Section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to the provisions of Section 3 to the maximum extent feasible, but not in derogation of compliance with section 7(b).



SECTION 3 OPPORTUNITIES PLAN

Business Opportunities and Employment Training for Housing Authority of the City of Pittsburgh Low Income Public Housing Residents (LIPH) and Area Residents of Low and Very Low Income Status (ARLIS)

PRIME CONTRACTOR'S NAME: _____
SPECIFICATION OR RFP/IFB/RFQ NUMBER: _____
SPECIFICATION OR RFP/IFB/RFQ TITLE: _____

The Contractor hereby agrees to comply with all the provisions of Section 3 as set forth in 24 CFR 135.1 et seq. and the HACP Section 3 Policy and Program requirements. The Contractor hereby submits this document to identify employment opportunities for HACP residents (LIPH) and **Area Residents of Low and Very Low Income Status (ARLIS)** during the term of the contract between the Contractor and the HACP.

The preference of HACP is to ensure that as many HACP residents as possible are employed. In an effort to further that requirement, HACP has created a preference tier structure as outlined in the HACP Section 3 Policy and Program Manual which can be reviewed by visiting the "Vendor Services" section of www.hacp.org. Contractors are required to comply with Section 3 by first considering Tier I – Hiring. If the Contractor cannot meet its Section 3 requirement in Tier I and needs to move to Tier II or Tier III, that Contractor must document this inability to comply with the preference and the need to move to a lower tier. (Such inability **must** be documented for moves within tiers). The Contractor agrees to meet its Section 3 requirement following the Preferential Tier Structure as indicated by the selection below (check one or more tiers below):

[] Tier I – HIRING

The Contractor affirms that the jobs identified shall be for meaningful employment that may or may not be related to the scope of services covered under Contract/Purchase Order # _____. The Contractor has committed to employ _____ resident(s) in order to comply with its Section 3 requirements. A prime contractor may satisfy the HACP Resident Hiring Requirements through his/her subcontractors. **Contact the HACP Resident Employment Program for resident referrals at 412-395-3950, Ext 1048.**

When Tier I is selected, the Contractor shall complete the following table as instructed below:

- (1) Indicate each job title for all phases of this contract
- (2) The number of positions that will be needed in each category
- (3) How many of those positions are currently filled
- (4) The number currently filled by low and very low-income HACP residents
- (5) The number currently filled by City of Pittsburgh neighborhood area residents
- (6) How many positions need to be filled

Indicate your requirement for the number of positions you intend to fill with:

- (7) Low income HACP Residents (LIPH) and/or
- (8) Low and very low income City of Pittsburgh Neighborhood Area Residents (ARLIS)



SECTION 3 OPPORTUNITIES PLAN

Section 3 Labor Utilization Assessment and Plan							
SPEC or RFP TITLE:					SPEC or RFP NUMBER:		
JOB TITLE (1)	NUMBER OF POSITIONS				HIRING REQUIREMENT		
	# NEEDED (2)	CURRENTLY FILLED			TO BE FILLED (6)	HIRING REQUIREMENT	
		TOTAL (3)	LIPH (4)	ARLIS (5)		LIPH (7)	ARLIS (8)

LIPH – HACP low income public housing resident
ARLIS - Area Residents of Low/Very Low Income Status – (Area is the Pittsburgh metropolitan area)

In the event the value of Section 3 resident hiring is less than the amount identified in the Resident Hiring Scale, vendors must contribute to the HACP Education Fund an amount not less than the difference between the value of Section 3 hiring and the amount identified in the Resident Hiring Scale, which funds shall be used to provide other economic opportunities.

Therefore, if it is anticipated that any position listed above shall be for less than the full term of the contract period, you must indicate on the lines below, the anticipated term for each position:



SECTION 3 OPPORTUNITIES PLAN

[] Tier II – CONTRACTING

The contractor has identified _____ HACP resident-owned business(es) or _____ Section 3 business(es) which is/are 51 percent or more owned by Section 3 residents or 30 percent or more of their permanent full-time workforce are Section 3 residents. This will satisfy the contractor's Section 3 requirement covered under Contract/Purchase Order # _____.

In a one (1) page letter on your firm's letterhead:

- 1) Indicate the requirements, expressed in terms of percentage, of planned contracting dollars for the use of Section 3 business concerns as subcontractors.
- 2) A statement of the total dollar amount to be contracted, total dollar amount to be contracted to Section 3 business concerns for building trades, and total dollar amount to be contracted to Section 3 business concerns for other than building trades work (maintenance, repair, modernization, and development).
- 3) A description of the method used to develop the requirements above and the efforts to be undertaken by the contractor to meet those requirements.

[] Tier III - OTHER ECONOMIC OPPORTUNITIES

Firms may provide other economic opportunities to train and employ Section 3 residents or make a direct cash contribution to the HACP Education Fund. HACP has established the following minimum threshold requirements for provision of training or contribution to the HACP fund that provides other economic opportunities:

- a) Contractor incurs the cost of providing skilled training for residents in an amount commensurate with the sliding scale set forth in the Resident Hiring Scale; or,
- b) Contractor makes a contribution to the HACP Education Fund at Clean Slate E3 to provide assistance to residents to obtain training. The level of contribution would be commensurate with the sliding scale set forth in the Resident Hiring Scale.

Contractor shall provide, in a letter on firm letterhead:

- 1) Indication of the skilled training to be provided, the number of persons to be trained, the training provider, the cost of training, and the trainee recruitment plan; or,
- 2) Provide the amount of planned contribution to be made in relation to percentage of the contract labor hours costs. (Contribution checks should be made payable to: Clean Slate E3 Education Fund and mailed to Clean Slate E3, C/O Housing Authority of the City of Pittsburgh, Finance Department, 200 Ross Street, 9th Floor, Pittsburgh, PA 15219.

[] Tier IV – No New Hire Opportunity

If awarded this contract, the contractor will be able to fulfill the requirements of the IFB/RFP/RFQ with the existing work force. No new hires will be employed as a result of this award. If this position changes and hiring opportunities become necessary, the HACP Resident Employment Program will be notified.



SECTION 3 OPPORTUNITIES PLAN

By signing below, the Contractor hereby agrees to comply with the selected Section 3 requirements indicated above. To the extent that the completion of this form is contingent upon future information, for example price negotiations, request for specific services, etc., the undersigned hereby affirms and agrees to fully adhere to the spirit and intent of the HACP Section 3 Policy.

Furthermore, the undersigned acknowledges and affirms responsibility for completion and submission of this form as part of the response documentation for this Invitation for Bid or Request for Proposal. Failure to submit this form may jeopardize the responsiveness of your submission.

Company Name: _____

Name: _____

Title: _____

Signature: _____ Date: _____

Witness Name: _____

Witness Signature: _____ Date: _____

ATTACHMENT G - Firm Demographics																	
		Male								Female							Total # of American Minorities
	All employees	White American	African American	Hispanic American	Asia American	Hasidic Jew American	Other American Minority	Foreign	Total Males	White American	African American	Hispanic American	Asia American	Hasidic Jew American	Other American Minority	Foreign	
Partner																	
Associate																	
Professional																	
Secretarial																	
Clerical																	
Other																	
Total																	

Explain all other American Minority: _____

Be certain that the numbers in this table are accurate and add up correctly.

ATTACHMENT H

W-9 Form (Rev. October 2007) Department of the Treasury Internal Revenue Service	Request for Taxpayer Identification Number and Certification	Give form to the requester. Do not send to the IRS.
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Print or type
See Specific Instructions on page 2.

Name (as shown on your income tax return)	
Business name, if different from above	
Check appropriate box: <input type="checkbox"/> Individual/Sole proprietor <input type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Limited liability company. Enter the tax classification (D=disregarded entity, C=corporation, P=partnership) ▶ <input type="checkbox"/> Other (see instructions) ▶	<input type="checkbox"/> Exempt payee
Address (number, street, and apt. or suite no.)	Requester's name and address (optional)
City, state, and ZIP code	
List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on Line 1 to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number	
or	
Employer identification number	

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. See the instructions on page 4.

Sign Here	Signature of U.S. person ▶	Date ▶
------------------	----------------------------	--------

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,

Attachment I
Sample M/WBE Commitment Letter

<Date>

<Name Of MBE or WBE Contact Person>

<Name of MBE or WBE firm>

<Address>

<City>, <State> <Zip>

Re: <Name of HACP Project>

Dear <Name of Contact Person at MBE or WBE Firm>

<Name of Prime Bidder> has submitted a bid for the above referenced project to the Housing Authority City of Pittsburgh (HACP).

If we are the successful bidders and awarded the contract, <Name of Prime Bidder> intends to utilize <Name of proposed MBE or WBE firm> as follows:

Scope of Proposed Services: _____

Estimated Dollar Value: _____

Please call should you have any further questions. We thank you for your continuing interest.

Sincerely,

<Contact Person from Prime Bidder>

<Contact Person from MBE/WBE>

(Signature)

(Signature)

(Name)

(Name)

ATTACHMENT J**Document Imaging Services****RFP#200-12-12****FEE SHEET****PRICE IS TO BE LISTED PER THE FOLLOWING:****Finance Department**

	Cost
Desktop Document Imaging License >30 ppm	\$
Concurrent Client License (10)	\$
Application Enabler (Emphasys)	\$
Base Installation and Training	\$
Workflow Concurrent SL (5)	\$
Records Management Module	\$
Records Management Discovery and Configuration	\$
Virtual Print Drive	\$
Workflow Discovery and Design	\$
Software Maintenance	\$
Scanner (Canon DR-6030C or equivalent)	\$
Scanner Maintenance	\$

Executive

	Cost
Named Client License (4)	\$
Base Installation and Training	\$
Software Maintenance	\$

Legal Department

	Cost
Desktop Document Imaging License >30 ppm	\$
Concurrent Client License (5)	\$
Workflow Concurrent SL (2)	\$
Workflow Discovery & Design	\$
Base Installation and Training	\$
Software Maintenance	\$
Scanner (Canon DR-6030C or equivalent)	\$
Scanner Maintenance	\$

Human Resources

	Cost
Concurrent Client License (5)	\$
Application Enabler (Ceridian)	\$
Desktop Document Imaging License >30ppm	\$
Document Knowledge Transfer	\$
Workflow Concurrent SL (5)	\$
Workflow Discovery & Design	\$
Base Installation and Training	\$
Scanner (Canon DR-6030C or equivalent)	\$
Scanner Maintenance	\$
Software Maintenance	\$

Community Affairs

	Cost
Concurrent Client License (3)	\$
Document Import Processor	\$
DIP Setup for Existing Collection	\$
Base Installation and Training	\$
Software Maintenance	\$

Modernization & Development

	Cost
Concurrent Client License (10)	\$
Desktop Document Imaging License >30ppm	\$
Base Installation and Training	\$
Scanner (Canon DR-6030C or equivalent)	\$
Scanner Maintenance	\$
Software Maintenance	\$

Occupancy

	Cost
Named Client License (10)	\$
Base Installation and Training	\$
Workflow Concurrent SL (5)	\$
Workflow Discovery and Design	\$
Software Maintenance	\$

Housing Choice Voucher

	Cost
Concurrent Client License (15)	\$
Desktop Document Imaging License >30ppm	\$
Base Installation and Training	\$
Workflow Concurrent SL (5)	\$
Workflow Discovery & Design	\$
Scanner (Canon DR-6030C or equivalent)	\$
Scanner Maintenance	\$
Software Maintenance	\$

Low Income Public Housing

	Cost
Concurrent Client License (7)	\$
Desktop Document Imaging License >30ppm	\$
Base Installation and Training	\$
Workflow Concurrent SL (5)	\$
Workflow Discovery & Design	\$
Scanner (Canon DR-6030C or equivalent)	\$
Scanner Maintenance	\$
Software Maintenance	\$

Security

	Cost
Named Client License (2)	\$
Base Installation and Training	\$
Software Maintenance	\$

Operations

	Cost
Named Client License (10)	\$
Desktop Document Imaging License >30ppm	\$
Base Installation and Training	\$
Scanner (Canon DR-6030C or equivalent)	\$
Scanner Maintenance	\$
Software Maintenance	\$

Facilities

	Cost
Named Client License (5)	\$
Desktop Document Imaging License >30ppm	\$
Concurrent Client License (10)	\$
Base Installation and Training	\$
Workflow Concurrent SL (2)	\$
Workflow Discovery & Design	\$
Scanner (Canon DR-6030C or equivalent)	\$
Scanner Maintenance	\$
Software Maintenance	\$

Information Systems

	Cost
Concurrent Client License (3)	\$
Base Installation and Training	\$
Software Maintenance	\$

Enterprise Pricing Summary

Description	Cost each	Cost Total
Named Client License (31)	\$	\$

Concurrent Client Licenses (68)	\$	\$
Desktop Document Imaging License >30ppm (8)	\$	\$
Workflow Concurrent Client SL (39)	\$	\$
Application Enabler (Emphasys Elite) (1)	\$	\$
Application Enabler (Ceridian Software) (1)	\$	\$
Document Knowledge Transfer (1)	\$	\$
Records Management Module (1)	\$	\$
Virtual Print Drive (1)	\$	\$
Document Import Processor (1)	\$	\$
Web Server	\$	\$
Software Total		\$
First Year SMA		\$

Professional Services:

Professional Services: \$_____

Hardware

Description	Cost each	Cost Total
Scanner (Canon DR-6030C or equivalent) (8)	\$	\$
Scanner Maintenance (8)	\$	\$

Grand Total: \$_____

(and the amounts for Software Total, SMA, Professional Services and Hardware together.)

Grand Total: \$ _____
(in words.)

Company Name (Printed): _____

Name (Printed): _____

Title: _____

Address: _____

Phone/Fax: _____

Email Address: _____

Signature: _____